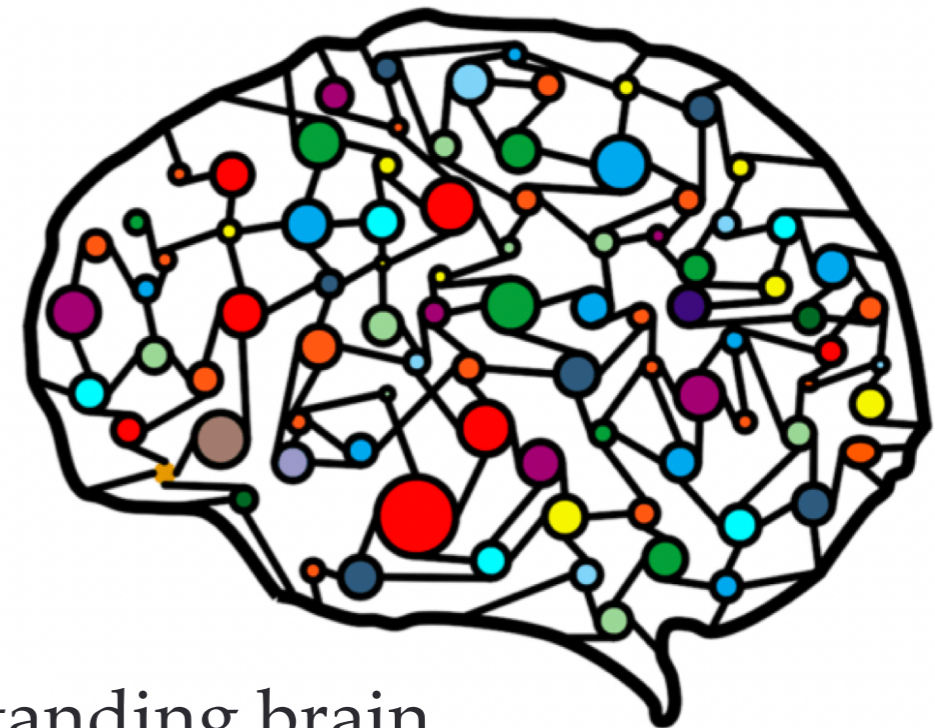


# General Principles of Human and Machine Learning



Lecture 8: Common tools for understanding brain  
and neural networks

Dr Charline Tessereau

<https://hmc-lab.com/GPHML.html>

## THIS WEEK:

- SUMMARY PAST MATERIAL COVERED + FINISHING LAST  
SESSION'S MATERIAL

- RL TO UNDERSTAND COGNITIVE PROCESSES

- MANIFOLD TO UNDERSTAND NEURAL POPULATION  
DYNAMICS

- RSA TO UNDERSTAND NEURAL REPRESENTATIONS

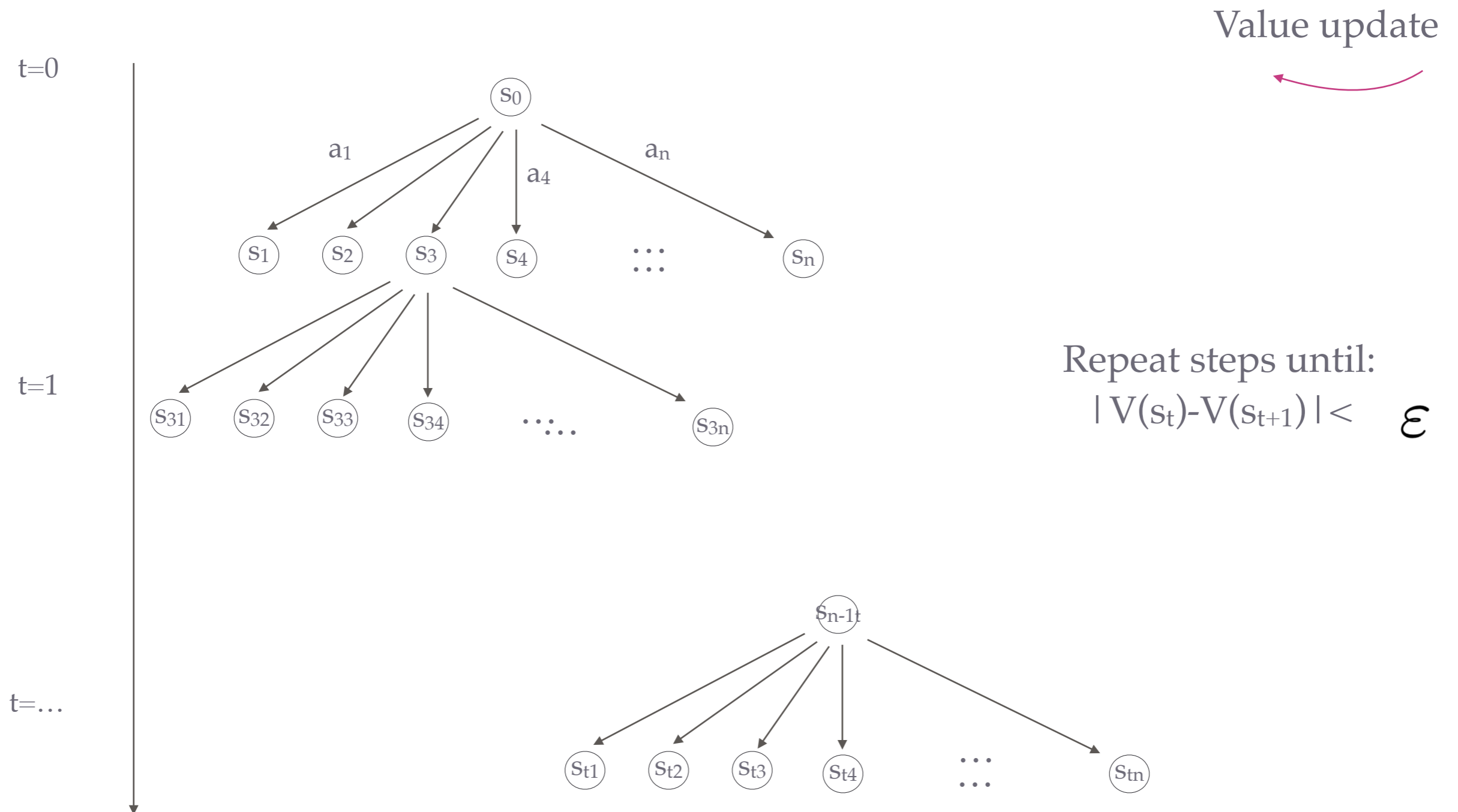
# RL RESULTS: INVESTIGATING MECHANISMS OF DECISION MAKING AND LEARNING

- Value / Q-learning: formalizes operant and pavlovian conditioning
- Policy gradient: formalizes 'repeat bias' / 'win-stay' behaviors
- Actor-critic: investigates boundary between values and actions
- Hierarchical RL: investigates how we break-out tasks
- Model-based: how we plan ahead

# MODEL-BASED

The transitions between states and the reward vector over the states are known.

Learning the optimal policy using simulated experience  
=graph search



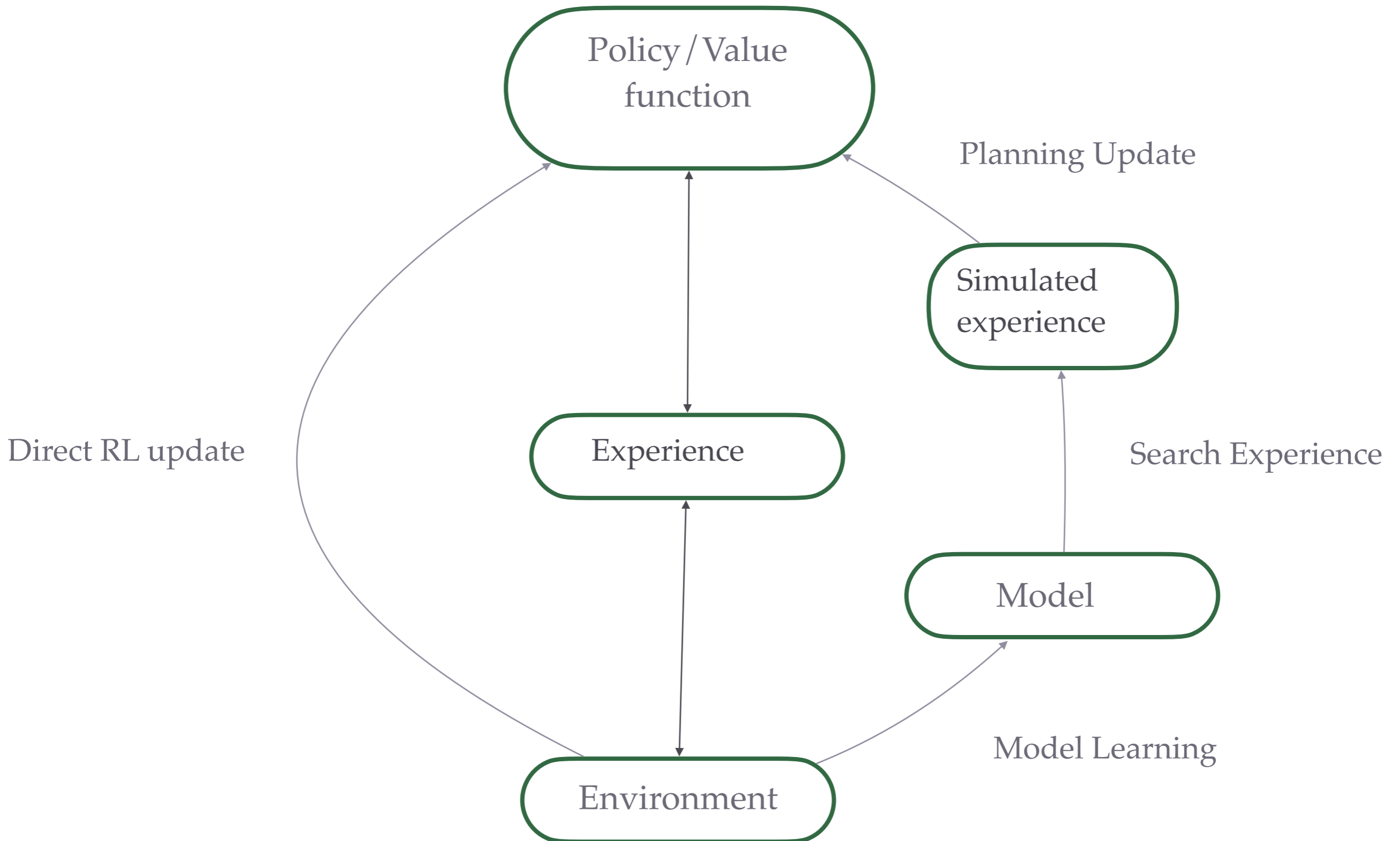
# MODEL-BASED

- Requires full knowledge of the model
- Can be very expensive - especially in large state spaces

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- Requires full knowledge of the model
- Can be very expensive - especially in large state spaces
- Some intermediary exists: DYNA
  - D - Dynamic
  - Y - Immediate
  - N - Neighbourhood
  - A - Approximation

# DYNA



# DYNA

- Initialize the model, initialize the Q-values



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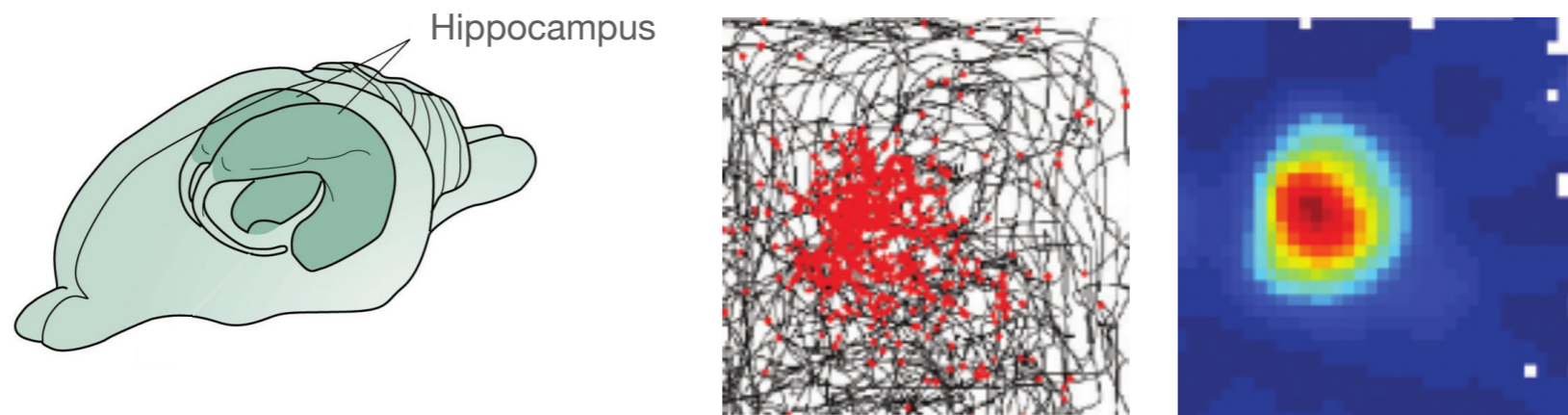
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  - Planning phase: 'simulate' offline the model to learn the Q-values.
- > Replay
- Enables to save 'real experience' to have an estimate of the Q function

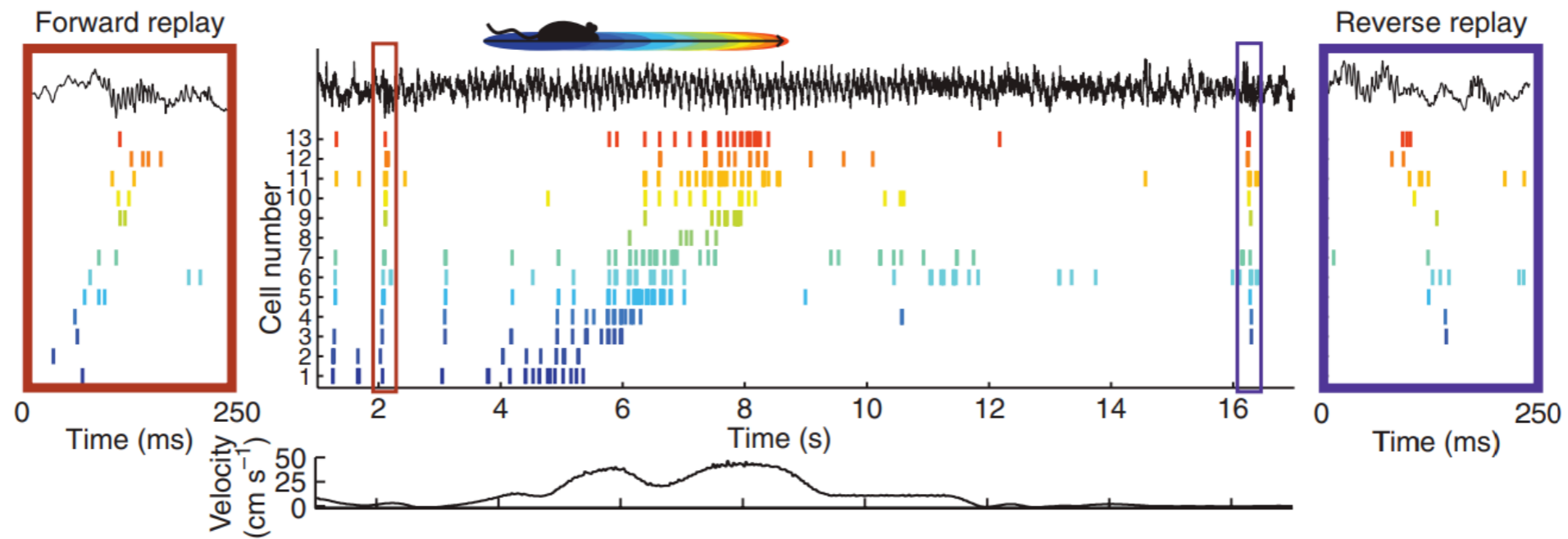
# REPLAY AS A NEURAL MECHANISM

Hippocampal place cells fire more around their preferred location:



# REPLAY AS A NEURAL MECHANISM

Hippocampal place cells activities display 'replay' patterns:



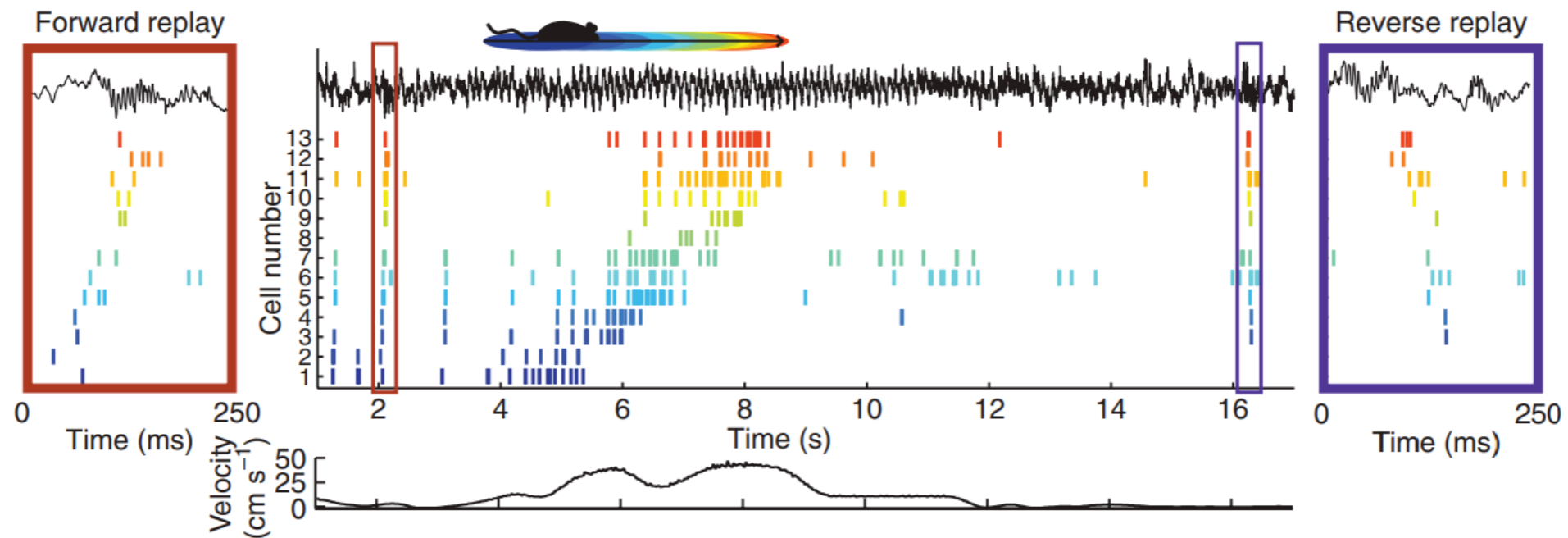


# DYNA

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- Enables to save 'real experience' to have an estimate of the Q function
- Problem: really dependent on your model!

# REPLAY AS A NEURAL MECHANISM

Hippocampal place cells activities display 'replay' patterns:



- Scaled with performance
- Influenced by new rewards, novelty

# DYNA

- Initialize the model, initialize the Q-values
- Policy learning:
  - Select an action based on the current policy and observe the resulting reward and next state
  - Update the Q-values using Q learning
  - Store the previous state, the action, reward and next state in the 'model'
- Planning phase: 'simulate' offline the model to learn the Q-values.
- Enables to save 'real experience' to have an estimate of the Q function
- Problem: really dependent on your model!

# DYNA

- "Simulating the model" requires a good model!
- Important (ongoing) questions about:
  - how, what and when storing events
  - how, what and when retrieving / learning from past

# DYNA

- Examples of models:
  - $(s,a,r,s')$  - random selection among past experiences

# DYNA

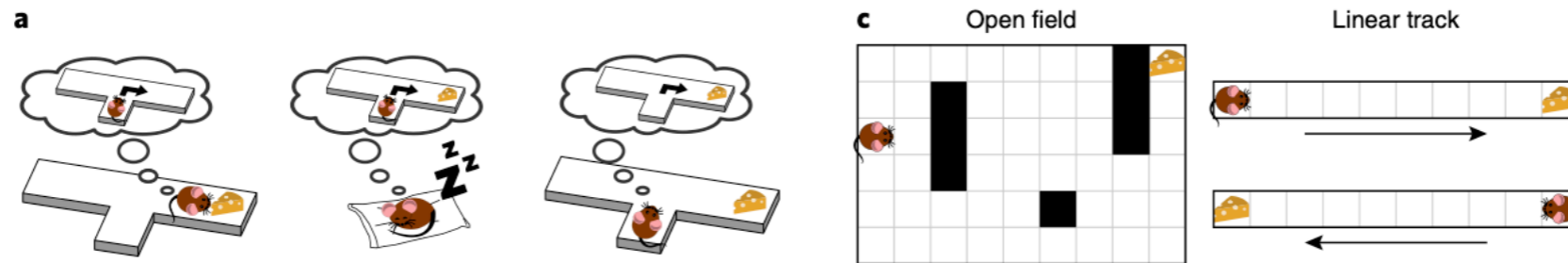
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  - Importance sampling: based on the probability distribution of the target policy compared to the behavior policy

# DYNA

- Examples of models:
  - $(s,a,r,s')$  - random selection among past experiences
  - Importance sampling: based on the probability distribution of the target policy compared to the behavior policy
  - Prioritized replay: assigns priorities to the experiences based on their importance or learning potential - typically based on the TD error

# DYNA

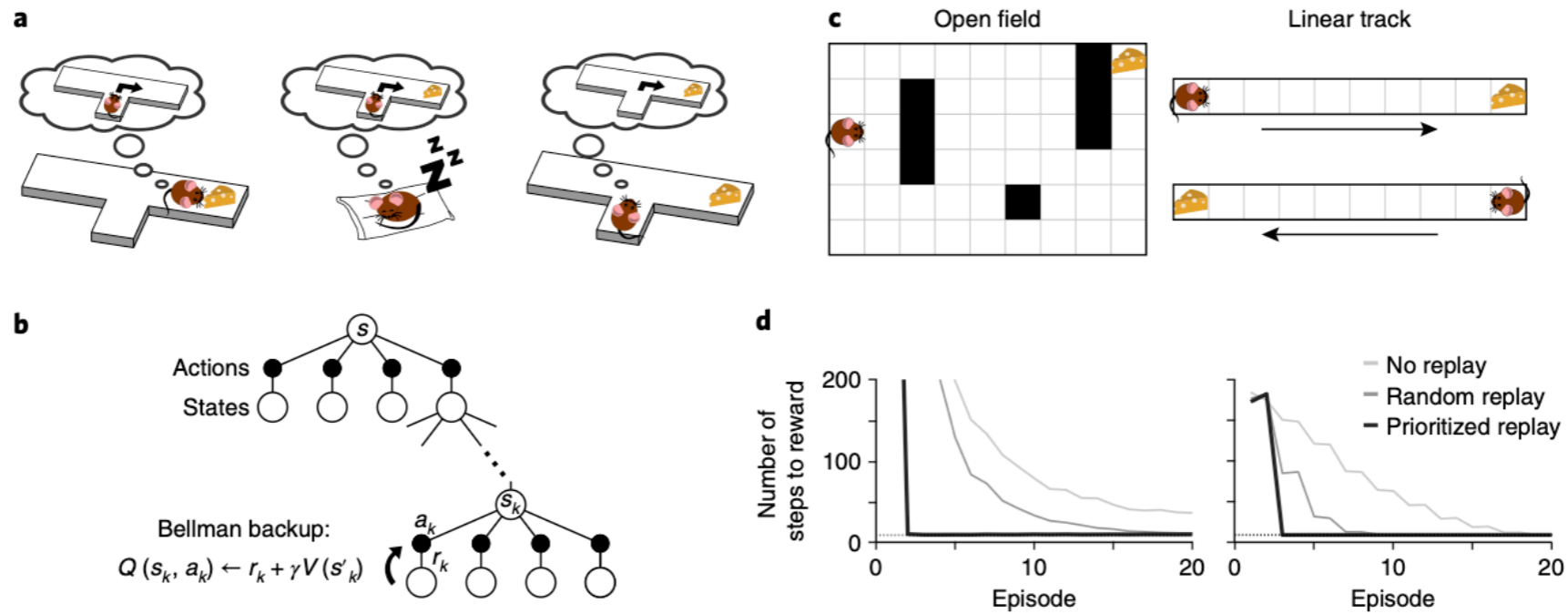
Prioritised replay: assigns priorities to the experiences based on their importance or learning potential.





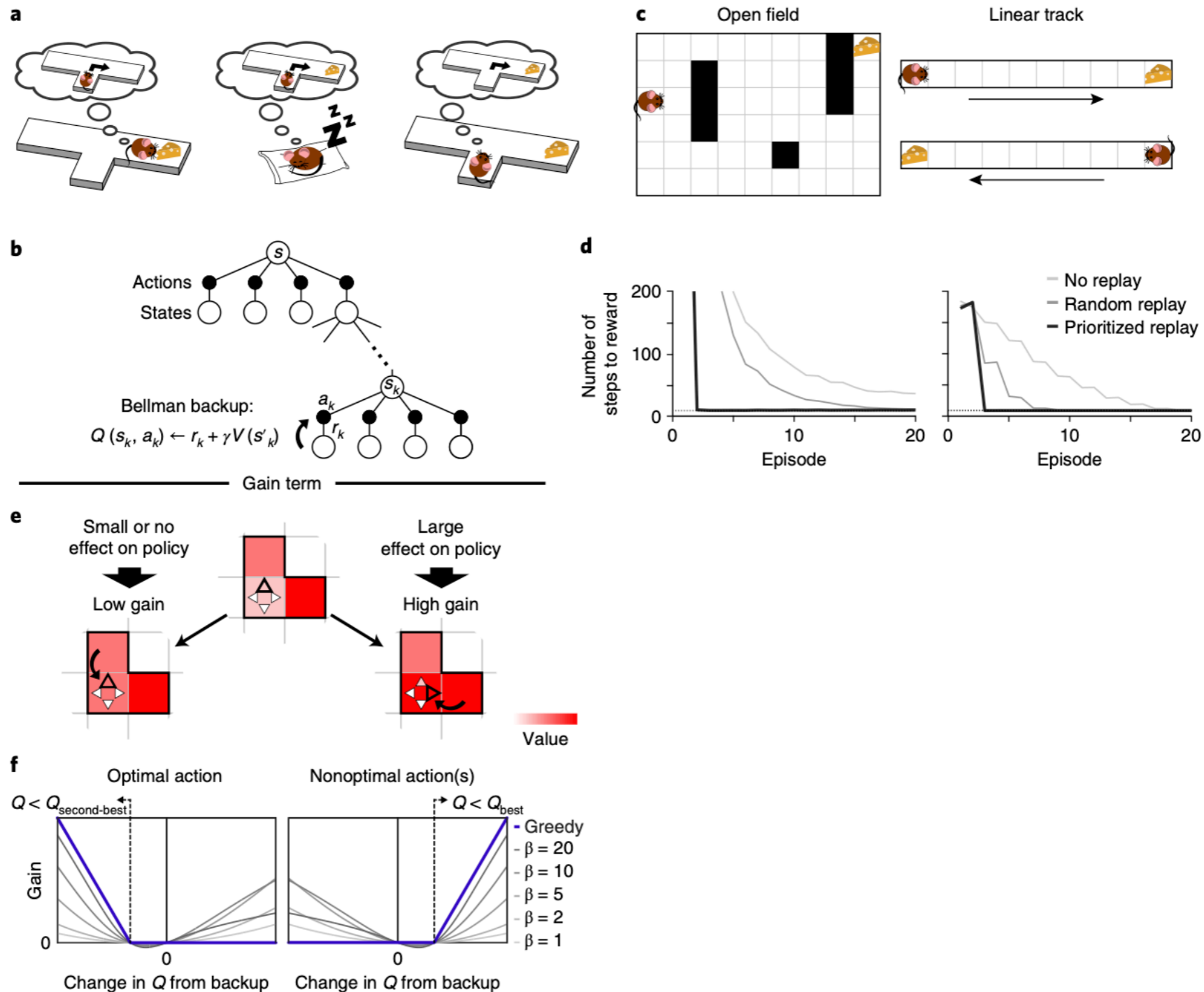
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Prioritised replay: assigns priorities to the experiences based on their importance or learning potential.



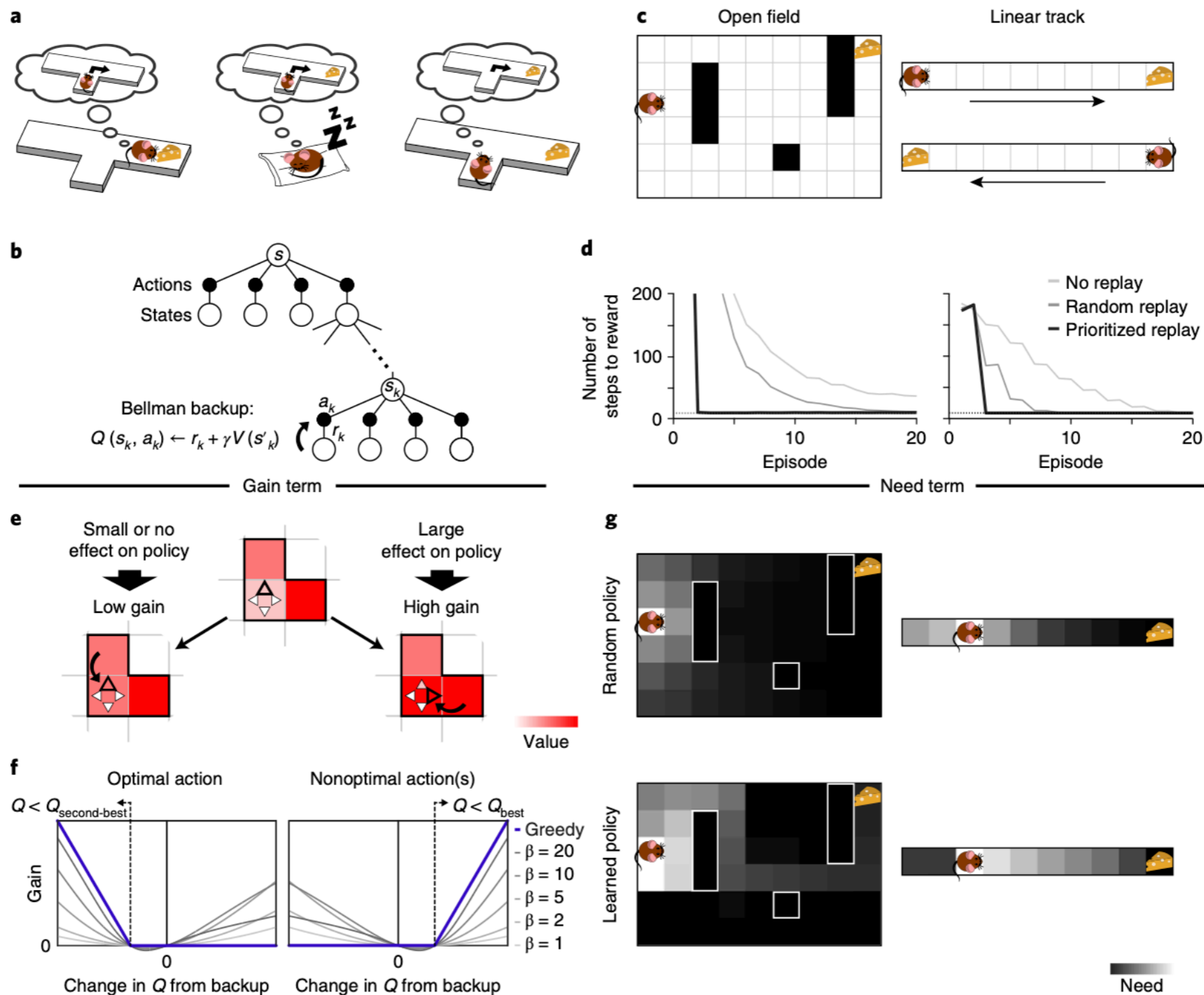
# DYNA

Prioritised replay: assigns priorities to the experiences based on their importance or learning potential.

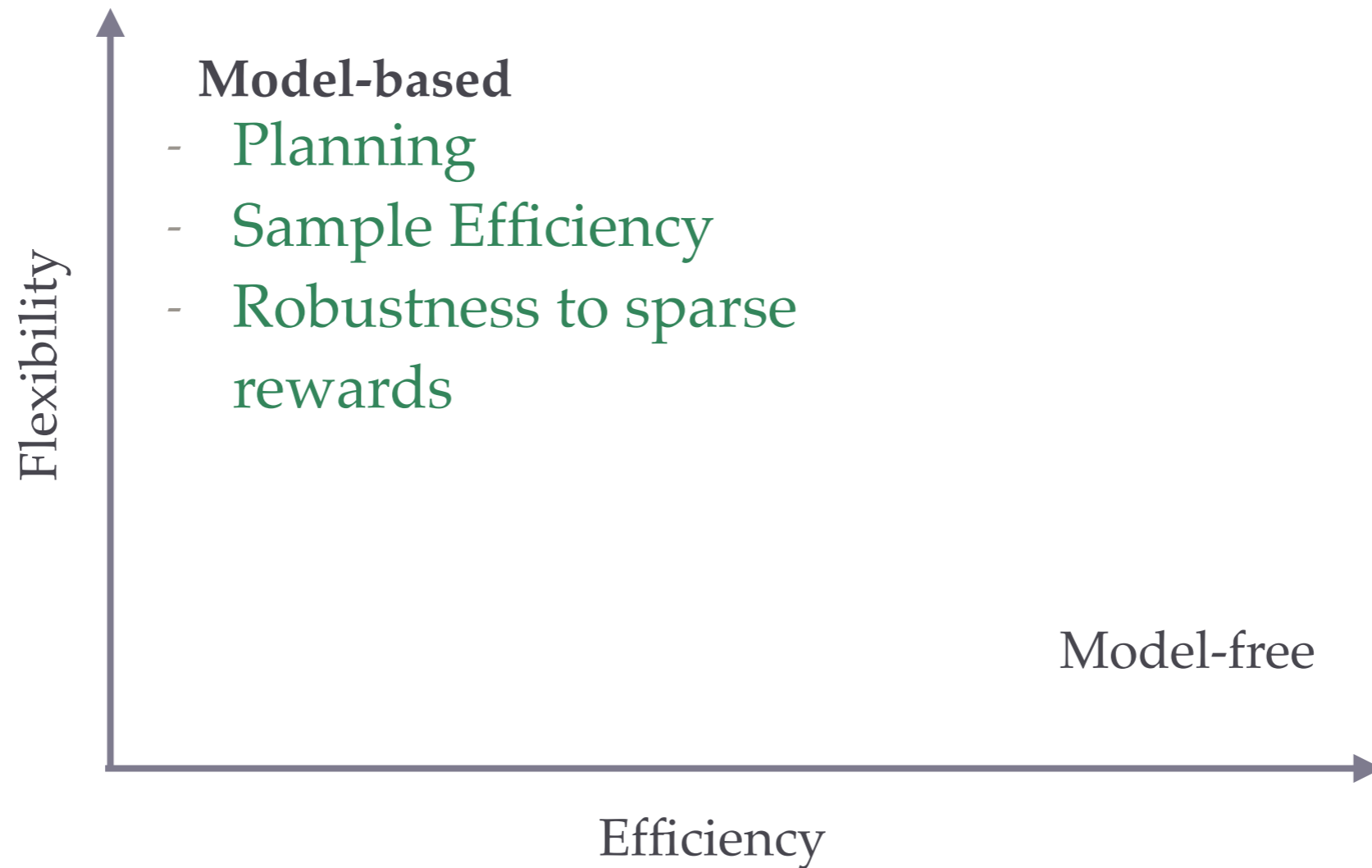


# DYNA

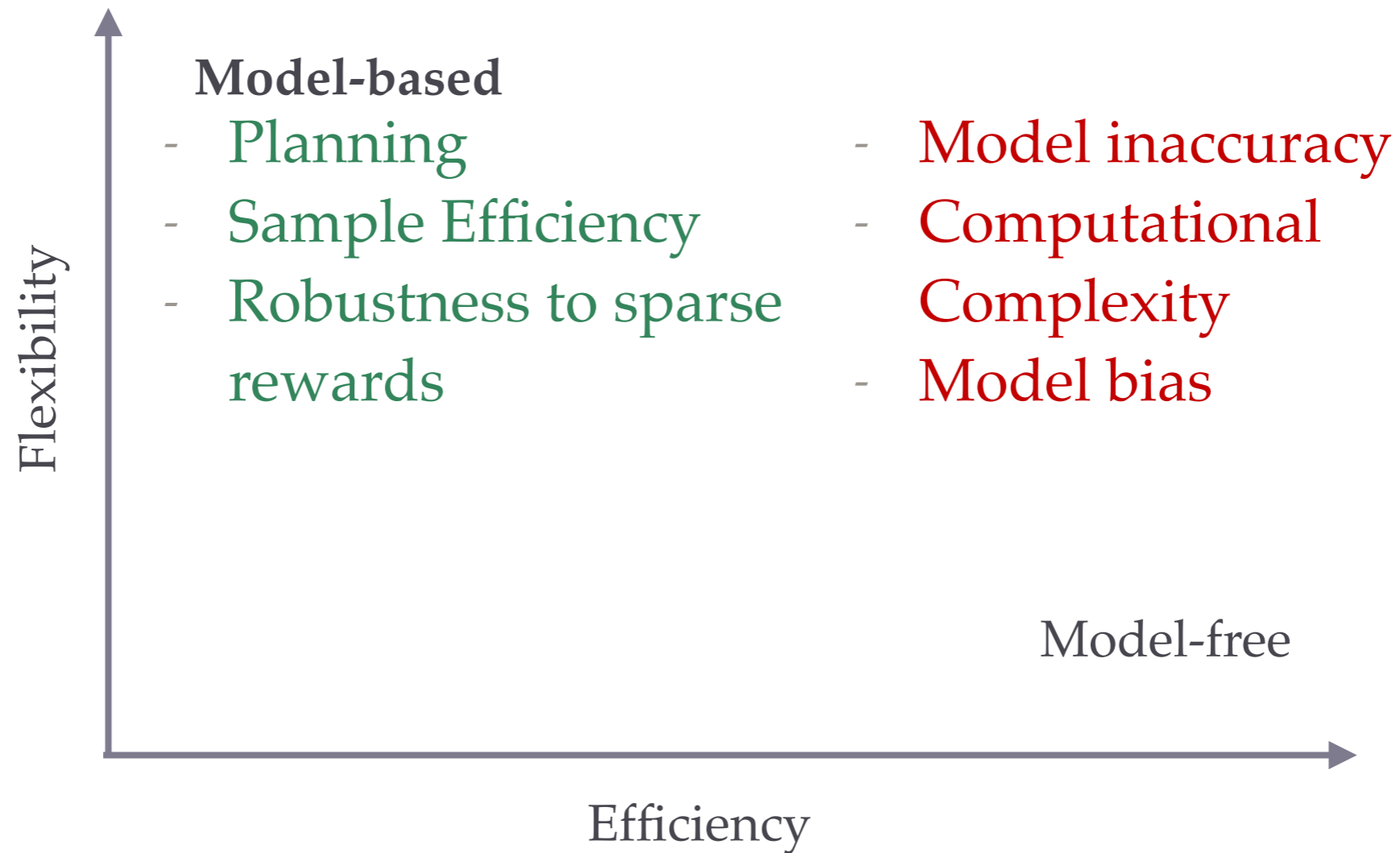
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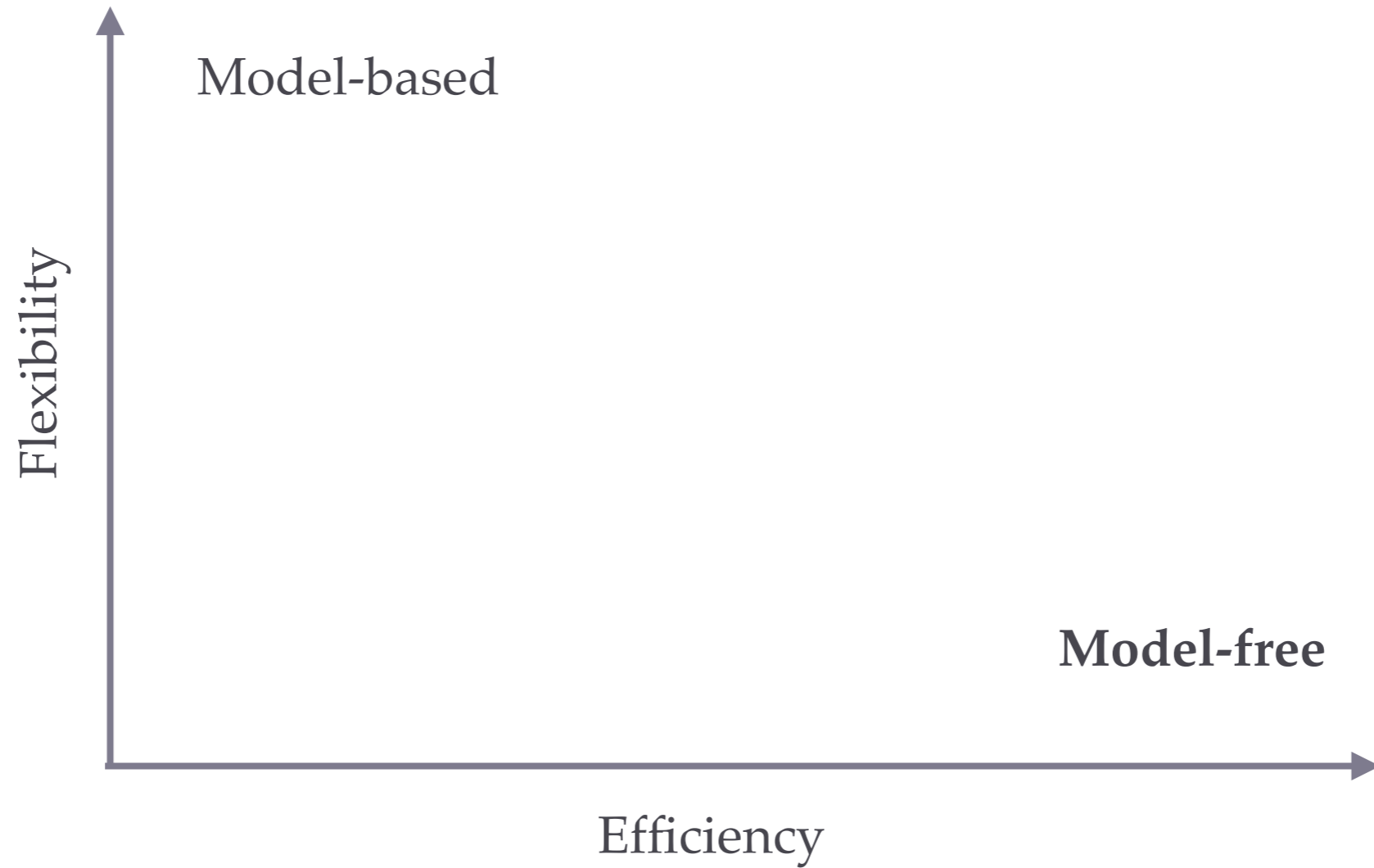
# SUMMARIZED SUMMARY



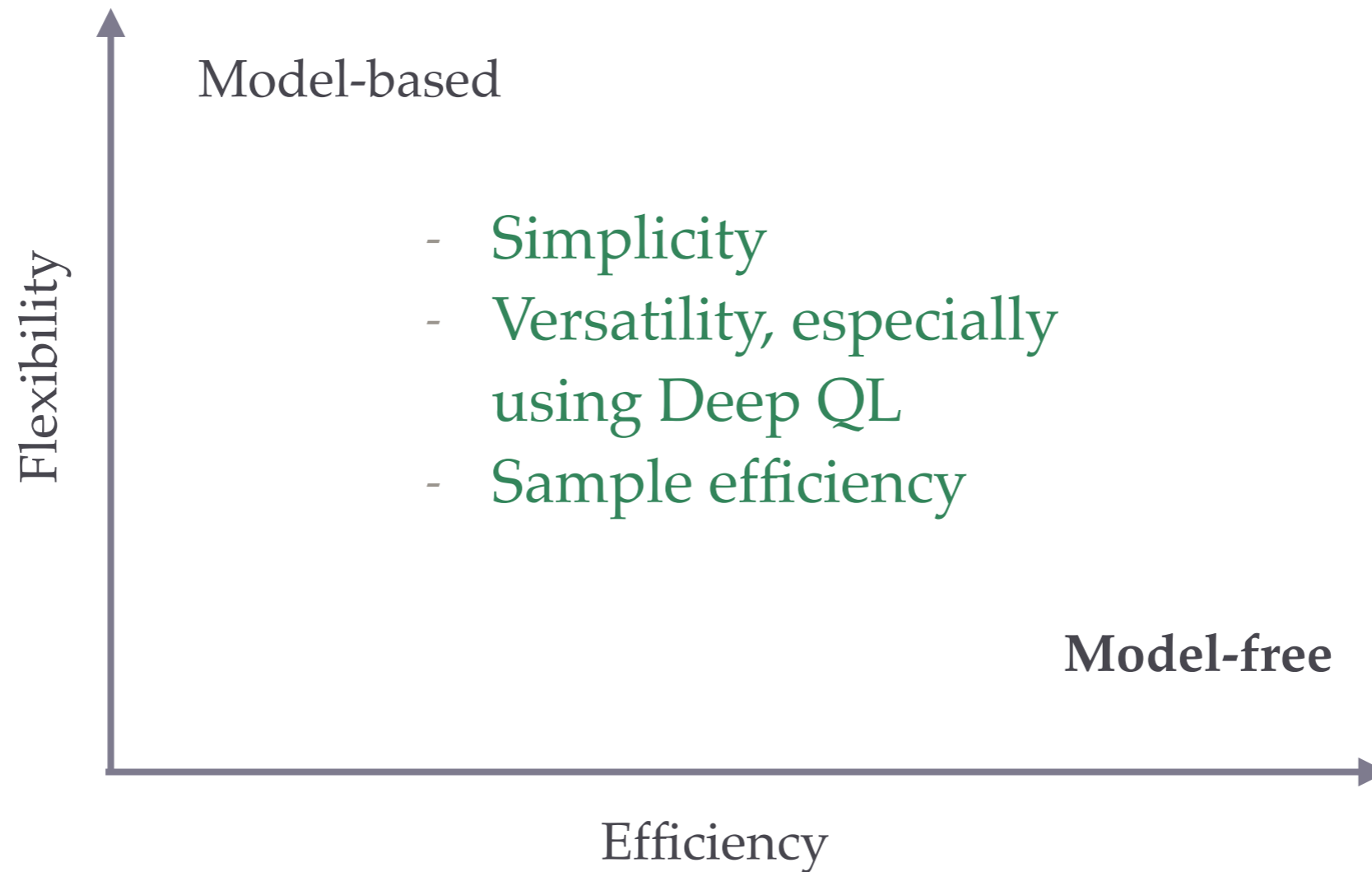
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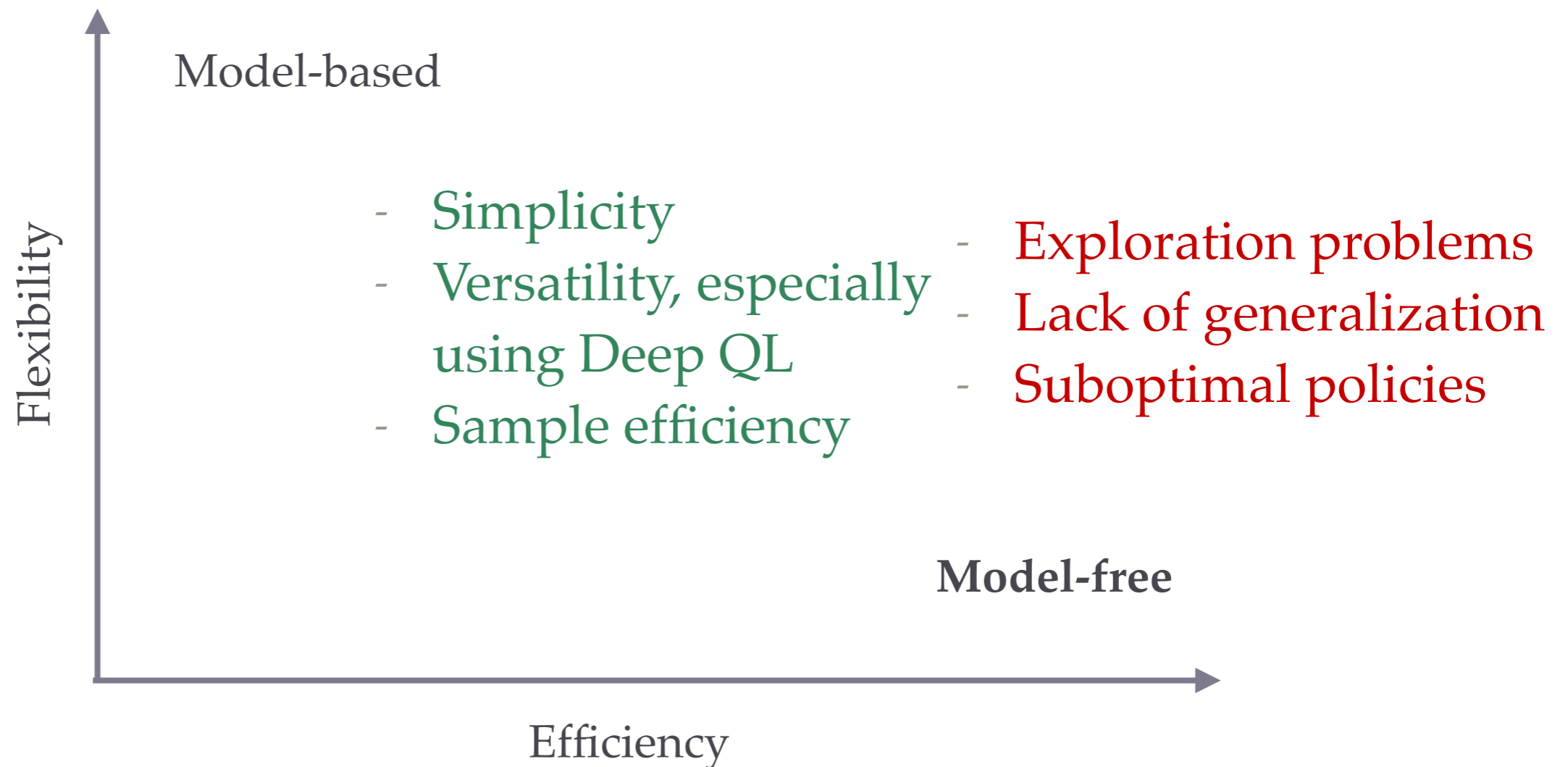
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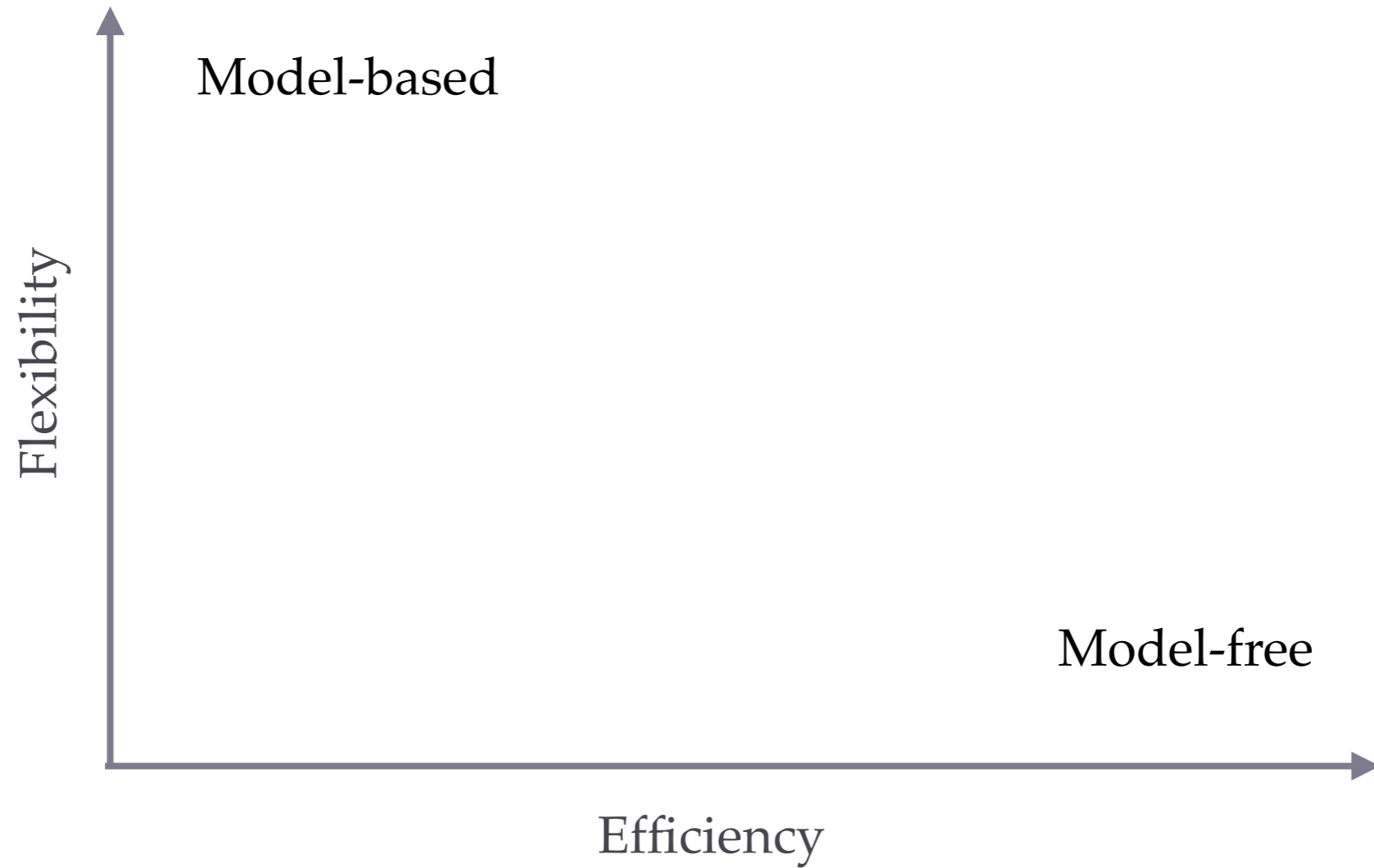


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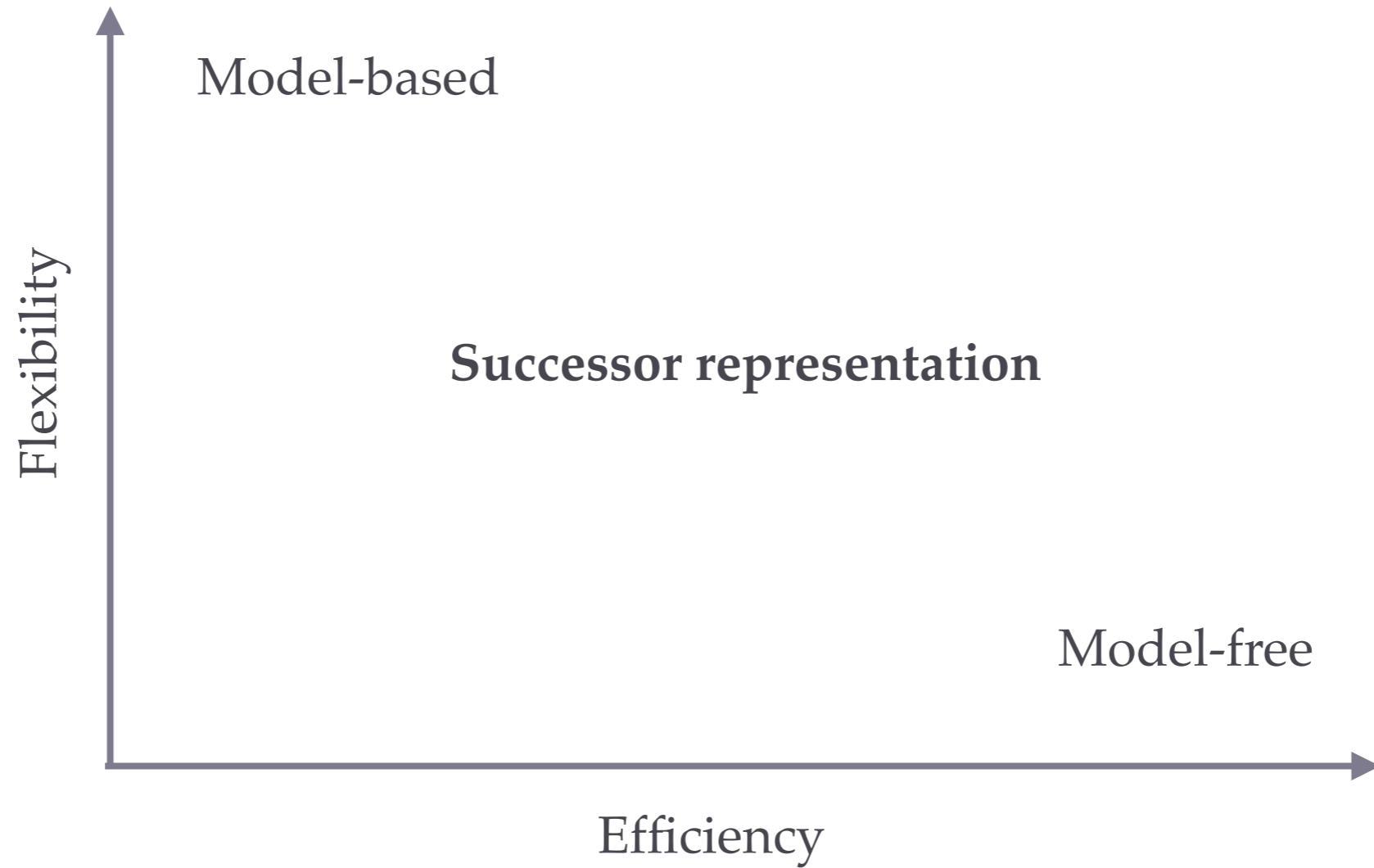




# SUMMARIZED SUMMARY



# THERE IS SOMETHING IN BETWEEN



# SUCCESSOR REPRESENTATION: PREDICTED DISCOUNTED SUM OF STATE OCCUPANCY

$$V_P(s) = \sum_{t=0}^{\infty} \gamma^t P_{\pi}(s|s_t) r(s_t).$$

$$V_P = \sum_{t=0}^{\infty} \gamma^t P_{\pi}^t r = (\mathbf{1}_N - \gamma P_{\pi})^{-1} r.$$

$$M = \sum_{k=0}^{\infty} \gamma^k P^k$$

M: Successor representation

$$M = (\mathbf{1}_N - \gamma P_{\pi})^{-1}$$

# PLANNING WITH THE SR IS MORE EFFICIENT

$$M = \sum_{k=0}^{\infty} \gamma^k P^k$$

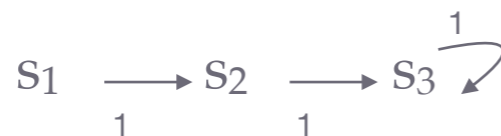
Model-based

Successor  
Representation



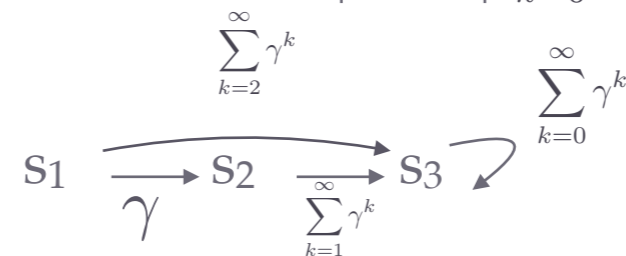
**P**

	S1	S2	S3
S1	0	1	0
S2	0	0	1
S3	0	0	1

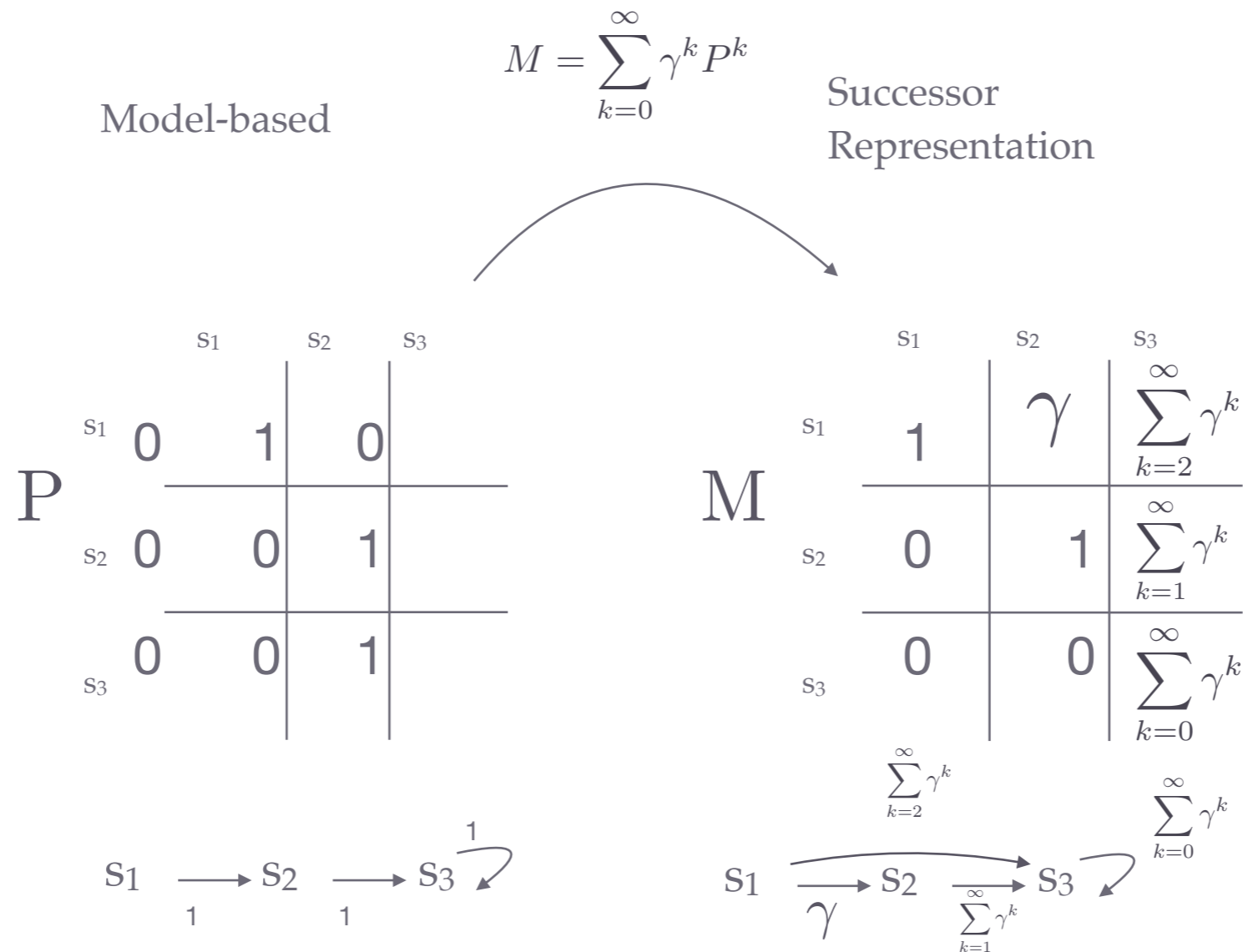


**M**

	S1	S2	S3
S1	1	$\gamma$	$\sum_{k=2}^{\infty} \gamma^k$
S2	0	1	$\sum_{k=1}^{\infty} \gamma^k$
S3	0	0	$\sum_{k=0}^{\infty} \gamma^k$

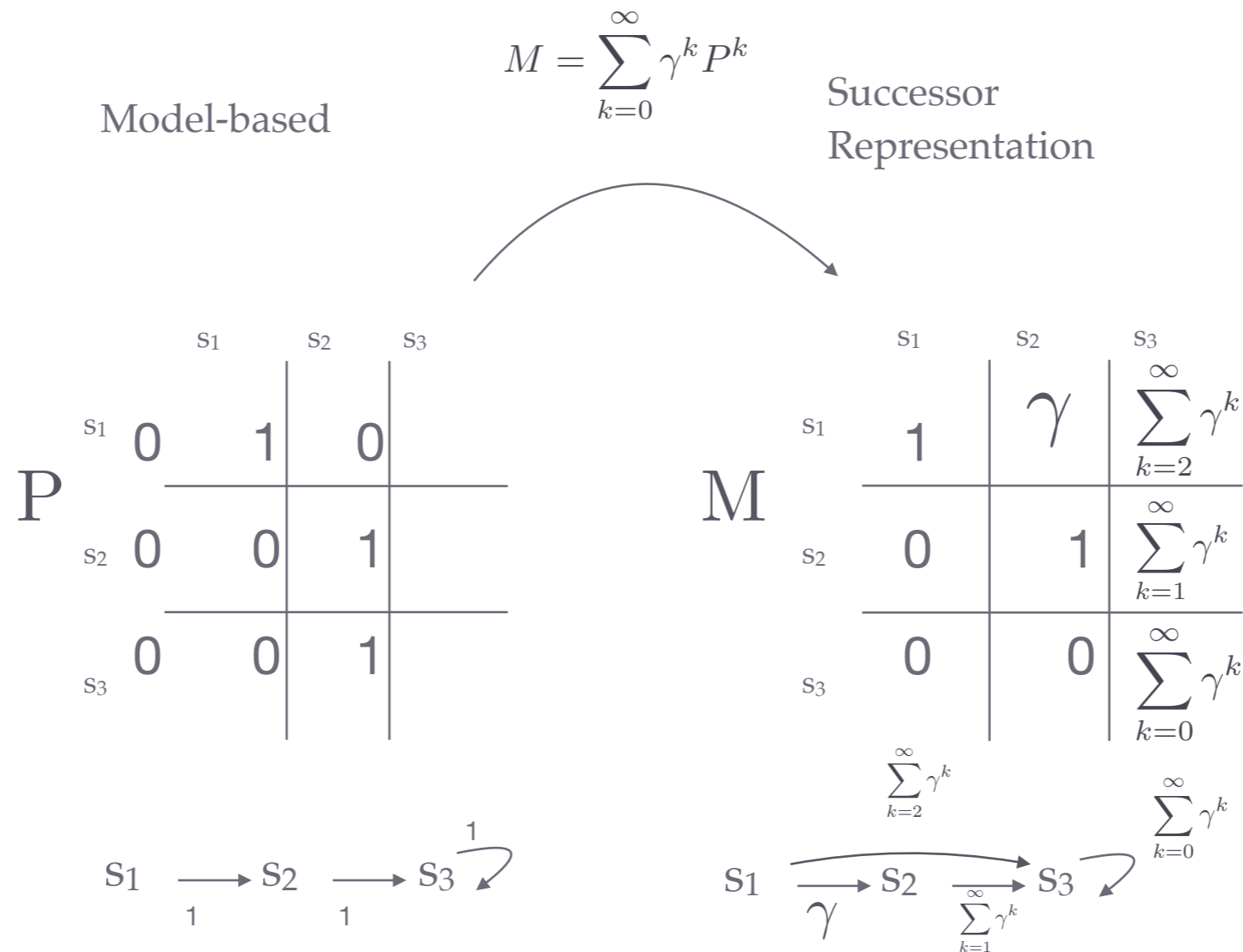


# PLANNING WITH THE SR IS MORE EFFICIENT



- Link one state to another according to “how many discounted times the agent can expect to visit state 2 from its current state”

# PLANNING WITH THE SR IS MORE EFFICIENT



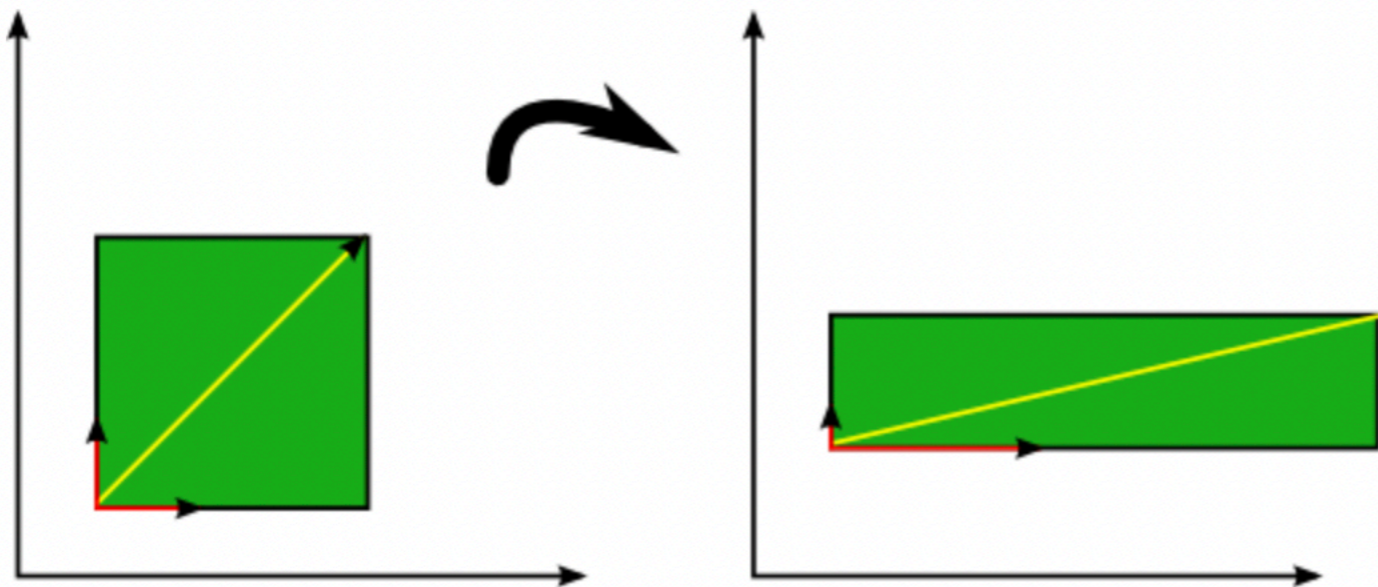
- Link one state to another according to “how many discounted times the agent can expect to visit state 2 from its current state”
- More efficient, as in this example: the agent already can access informations from  $s_3$  while being in  $s_1$ .

# INTERMEDIARY : EIGENVECTORS

- Eigenvectors: vectors that do not change direction when applying a linear transformation

$$A \cdot v = \lambda \cdot v$$

$$(A - \lambda \cdot I) \cdot v = \vec{0}$$



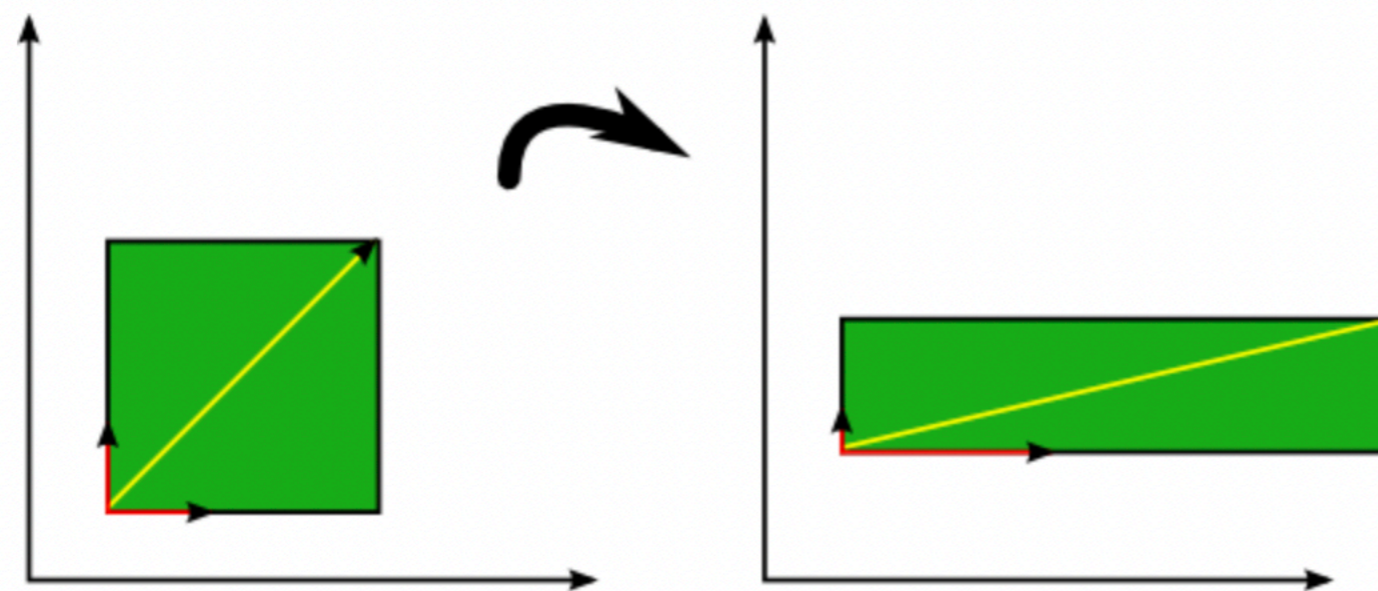
$$I = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ & & & \dots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix}$$

# INTERMEDIARY : EIGENVECTORS

- $v$  is an “eigenvector”
- $\lambda$  is an “eigenvalue”
- Eigenvectors capture direction of main actions of the multiplication by  $A$
- Eigenvalues capture how much this direction
  - gets extended (if  $\lambda > 1$ )
  - or squeezed (if  $\lambda < 1$ )

$$A \cdot v = \lambda \cdot v$$

$$(A - \lambda \cdot I) \cdot v = \vec{0}$$

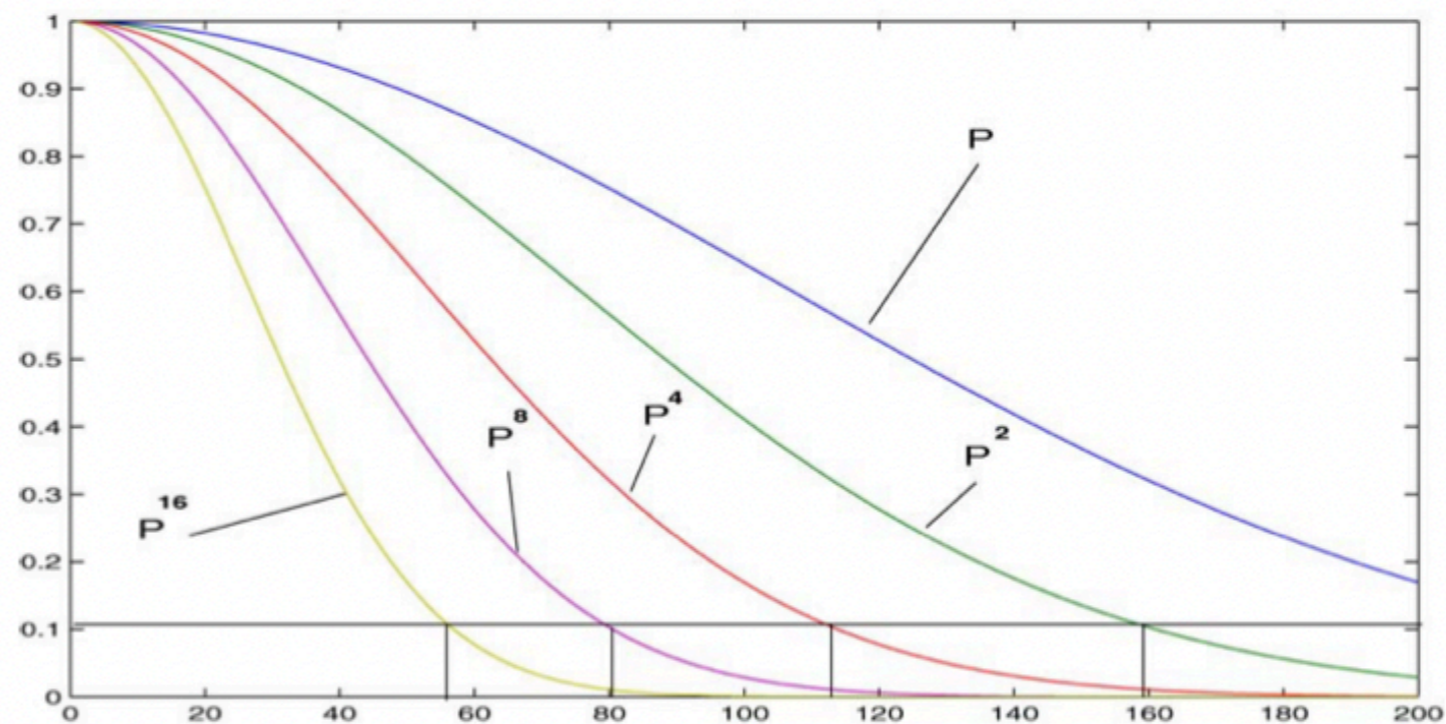


$$I = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ & & & \dots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix}$$



# SR: A DESCRIPTION OF THE STATE OCCUPANCY AT DIFFERENT TIMESCALES

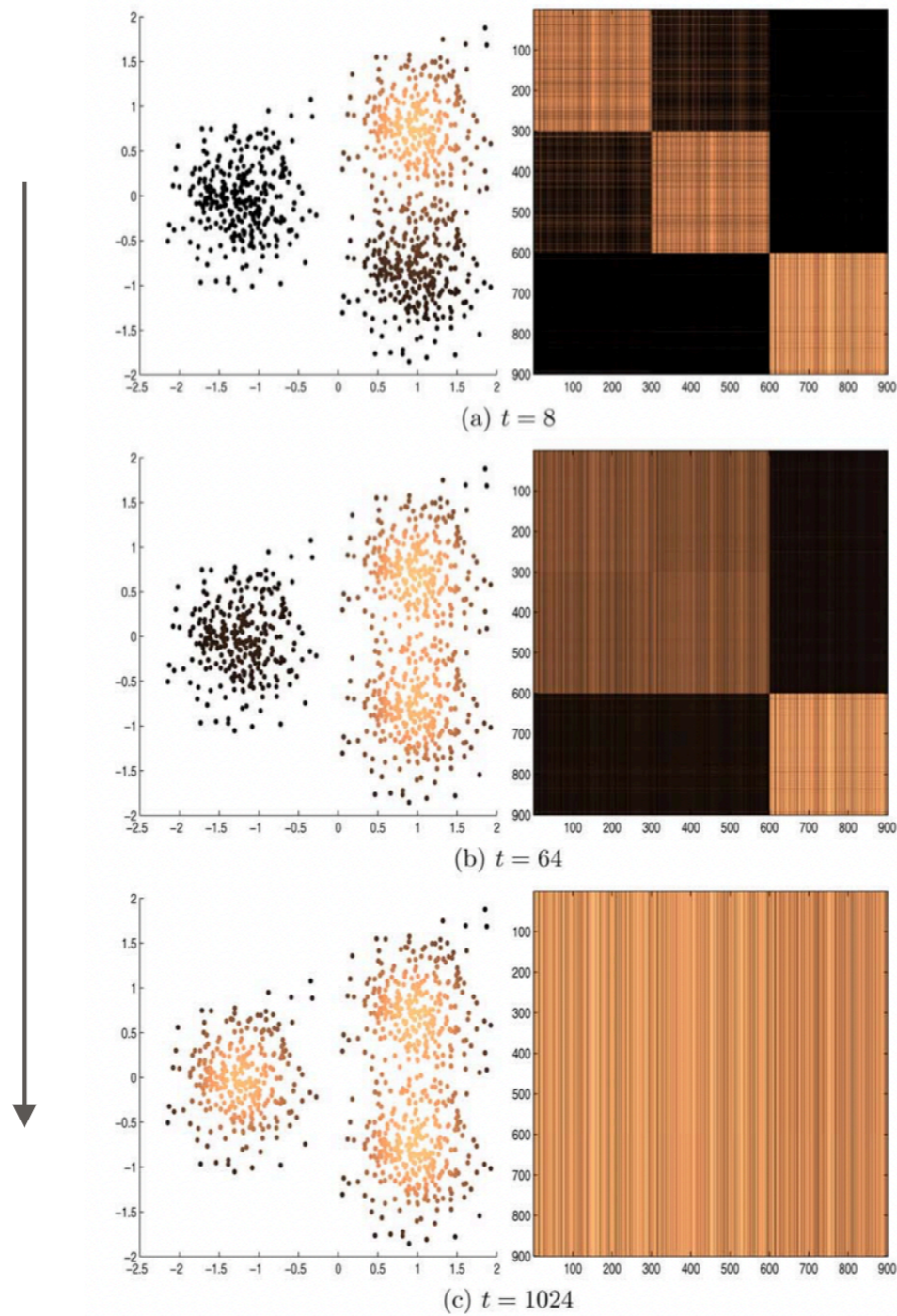
The numerical rank of  $P^k$  (=the “dimension of the number of directions” that it represents) decreases with time:



Spectral decomposition of the SR= eigenvalues

$P^t$  represents the states occupancy description at time  $t$  = after  $t$  timesteps in the Markov chain

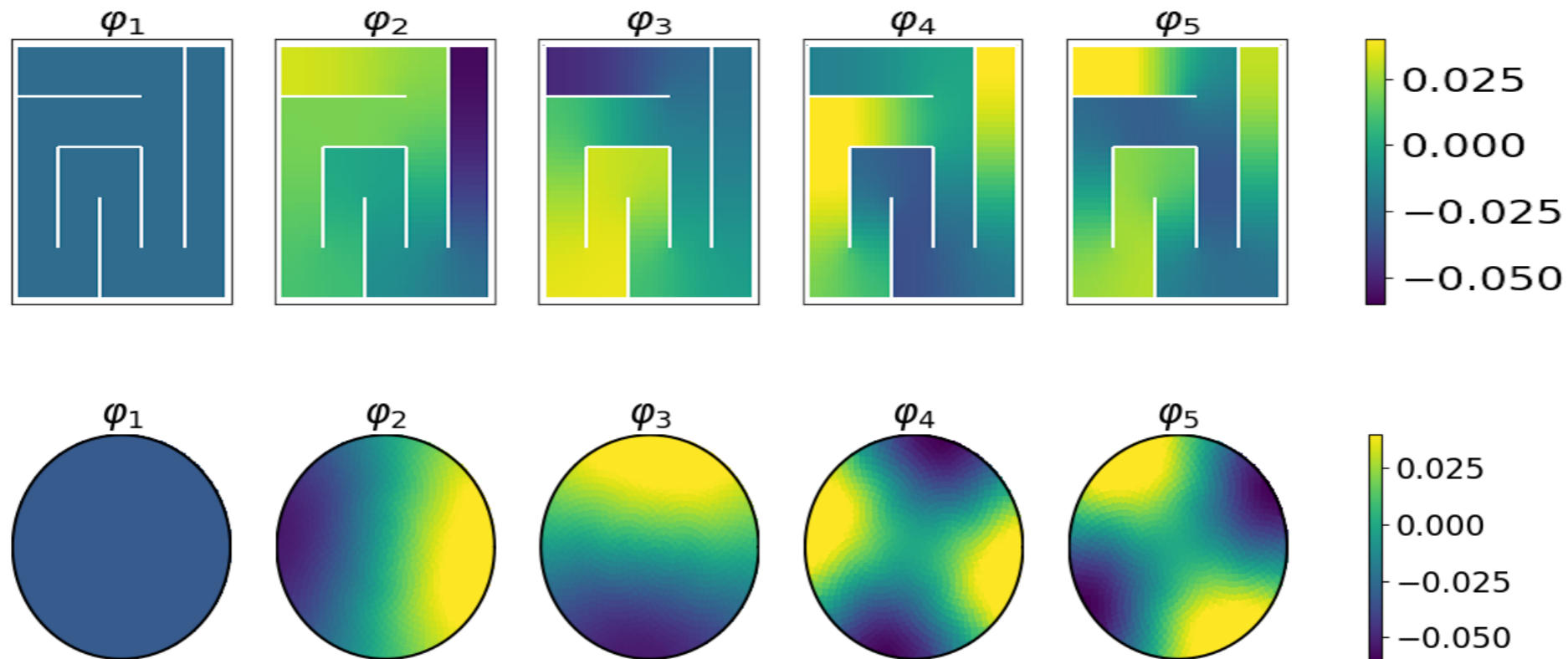
# SR: A DESCRIPTION OF THE STATE OCCUPANCY AT DIFFERENT TIMESCALES



As time evolve, the graph of points progressively converges to the limit of state-occupancy

# SR: THE EIGENVECTORS PROVIDE A FORM OF 'FREQUENCY' DISTRIBUTION OF THE STATE OCCUPANCY

$$\lambda_1 = 1 > \lambda_2 \dots \lambda_N \geq 0$$



One can cut the dimension with minimal predictive harms.

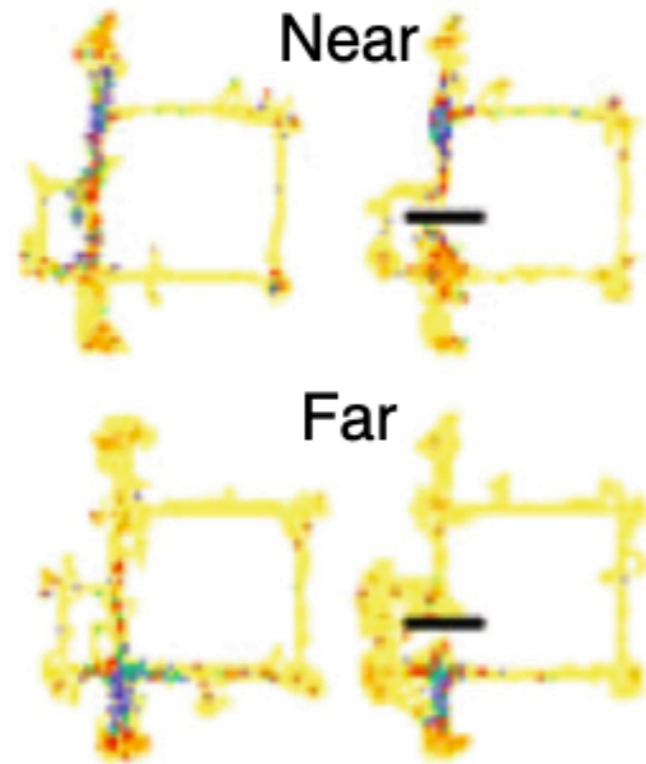
# SR AND GAUSSIAN KERNEL: GENERALISATION MECHANISMS

- Gaussian kernels link two states according to how far away they are.
  - Usually, this distance is defined from the Euclidean distance
- In the SR representation, it is similar but it is about 'accessible distance':
  - Depends on the transition in the environment and the policy of the agent

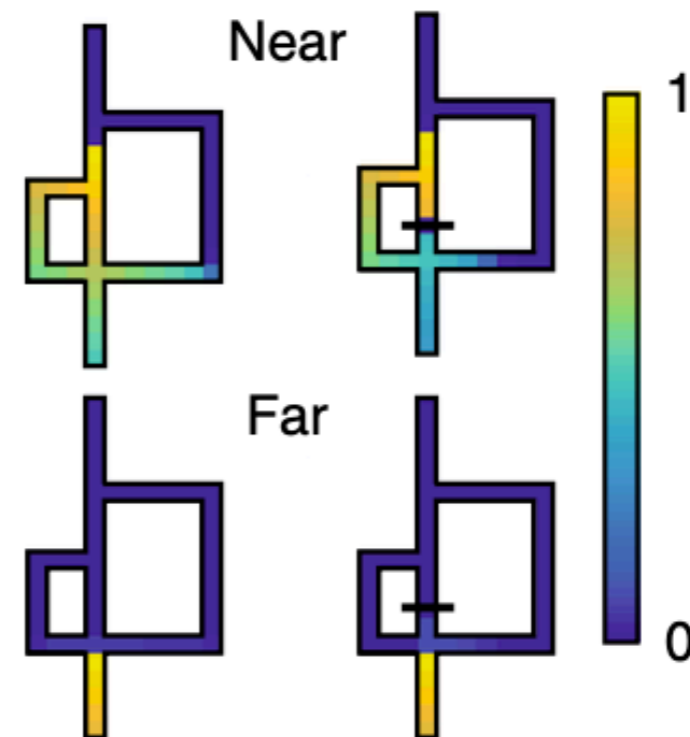
# SR: CAN REPRODUCE PLACE CELLS 'REMAPMING'

## Data- place field

(Alvernhe et al., *Eur. J. Neurosci.*,2011)



## SR- place field



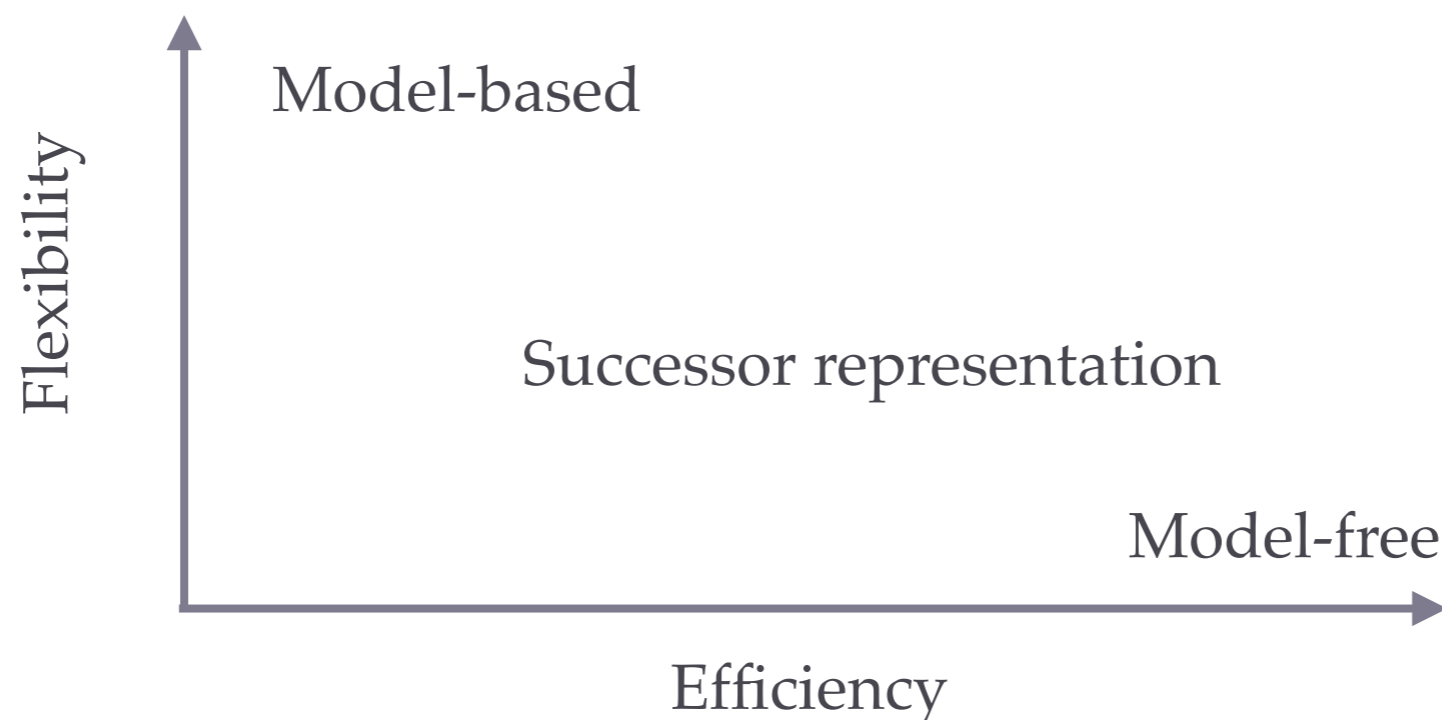
- When a new barrier is introduced, the transition probability changes
- Both hippocampal place cells and SR column vectors adjust to that change in a similar way
- This lead to a new model of the hippocampus as a 'predictive map'

# SUMMARY SR

- Links state, space and time
- Can be learned using TD error:

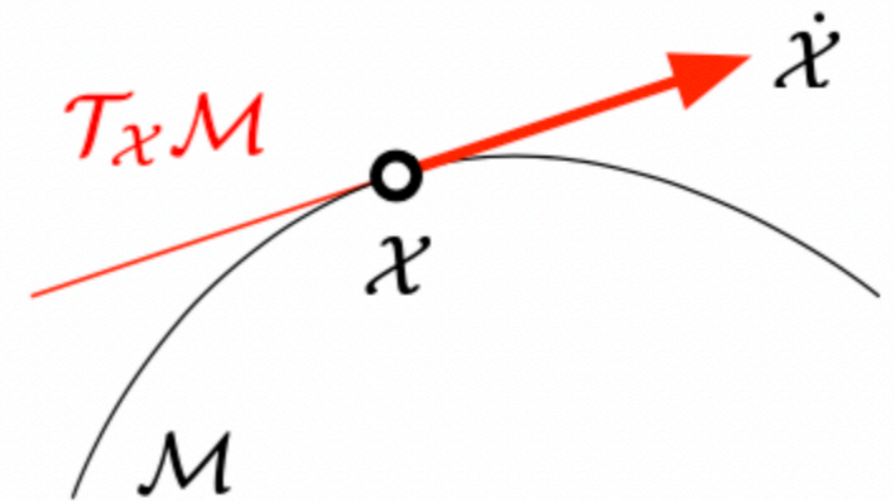
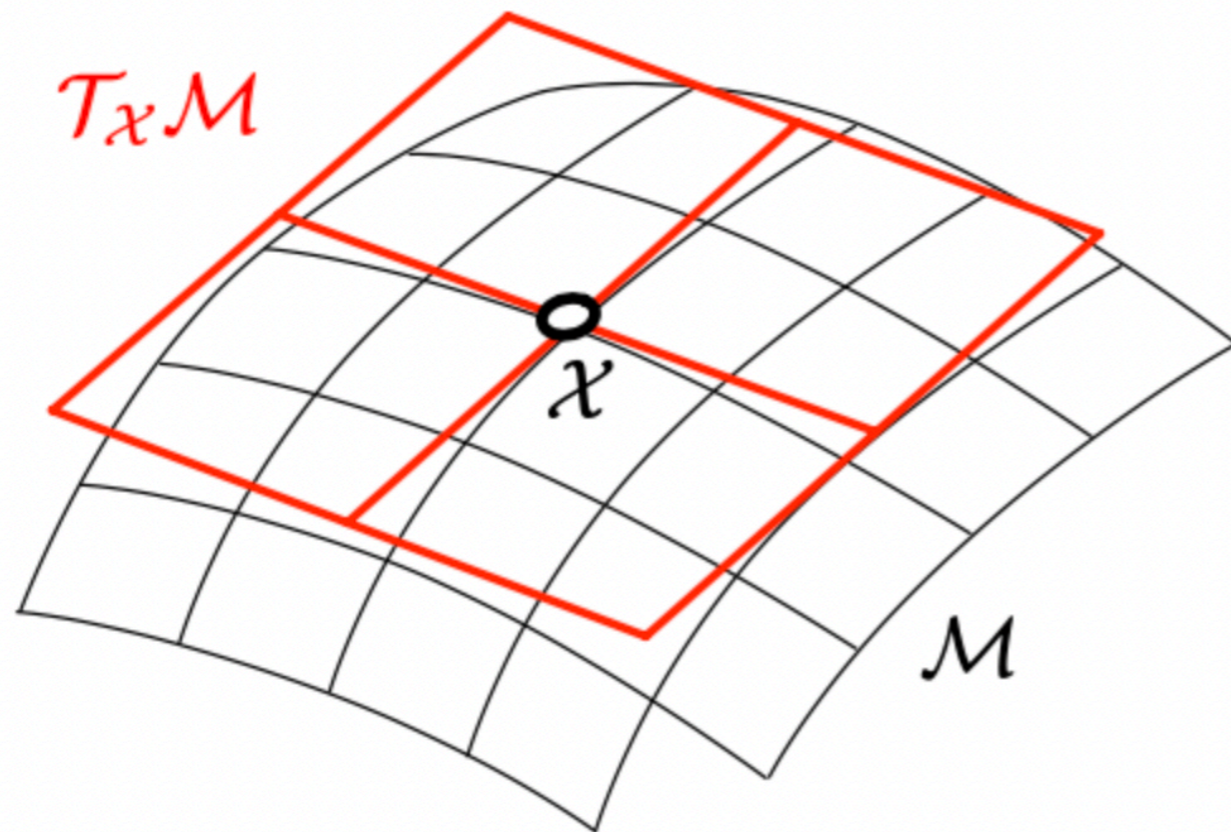
$$\delta_t(s) = \mathcal{I}(s_t, s) + \gamma \hat{M}(s_{t+1}, s) - \hat{M}(s_t, s)$$

- Can explain the evolution of neural coding based on environmental changes
- Is more flexible than model-free but more efficient than model-based approaches.



# NON-RL APPROACHES TO DECIPHERING THE NEURAL CODE

MANIFOLD:  
TOPOLOGICAL SPACE THAT LOCALLY RESEMBLES AN  
EUCLIDEAN SPACE



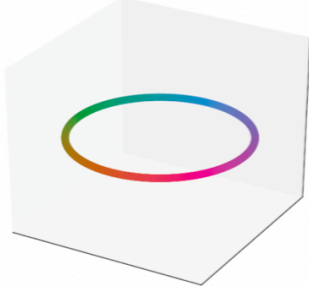
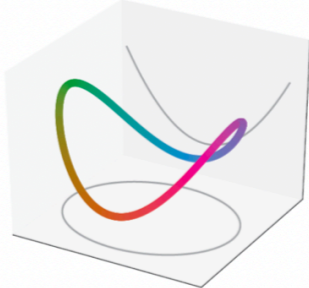
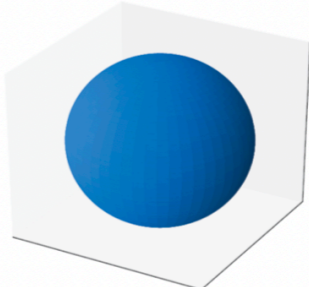
- Example: Sphere
- Allow more complicated structures to be expressed and understood in terms of simpler spaces



# MANIFOLD ANALYSIS: TOOL FOR DIMENSIONALITY REDUCTION

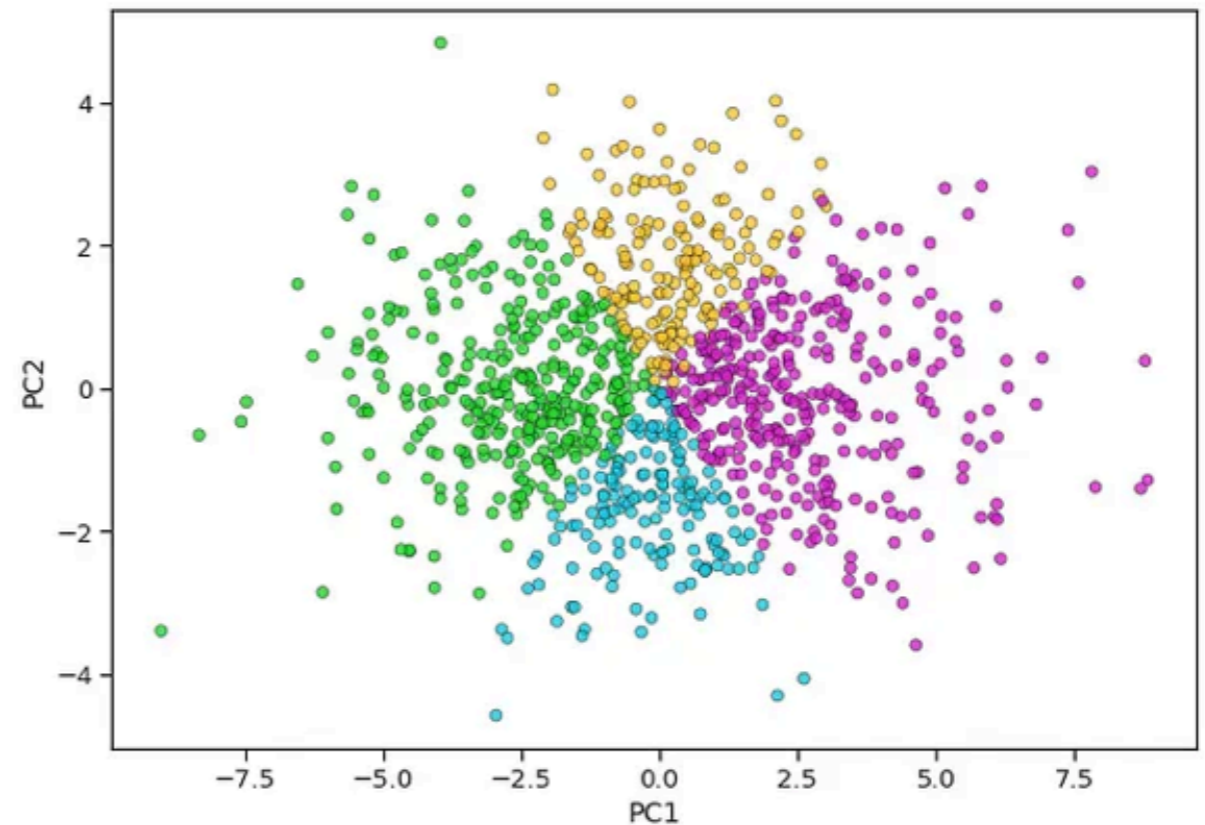
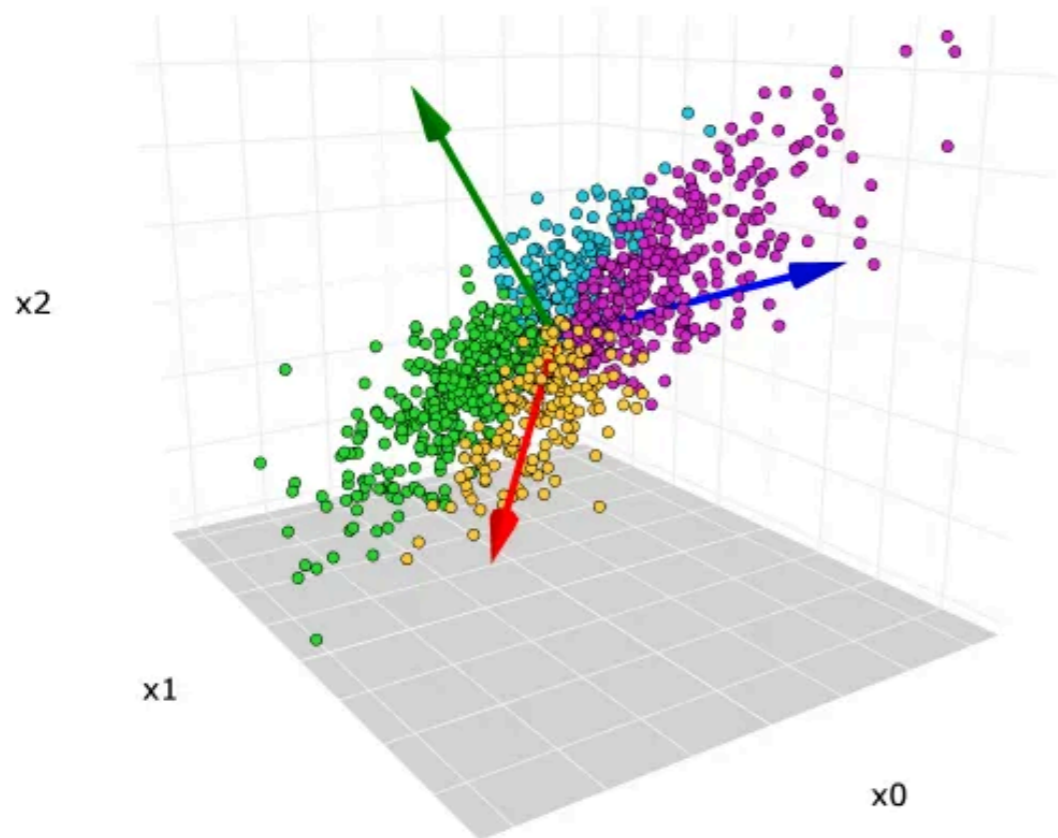
Characterizing manifolds and their local euclidean approximation:

- gives information on behavior of objects in that space
- Enables dimensionality reduction

Intrinsic Dimension		Embedding Dimension
1		2
1		>2
2		3

# MANIFOLD ANALYSIS EXAMPLE: PRINCIPAL COMPONENT ANALYSIS (PCA)

- Project data point into transformed dimensions
- Those dimensions are such that they maximise the variance of the dataset



# MANIFOLD ANALYSIS EXAMPLE: PRINCIPAL COMPONENT ANALYSIS

- The axis correspond to eigenvectors of the covariance matrix of the data points:

$$C = \frac{1}{n-1} \sum_{i=1}^n (\mathbf{X}_i - \bar{\mathbf{X}})^T (\mathbf{X}_i - \bar{\mathbf{X}})$$

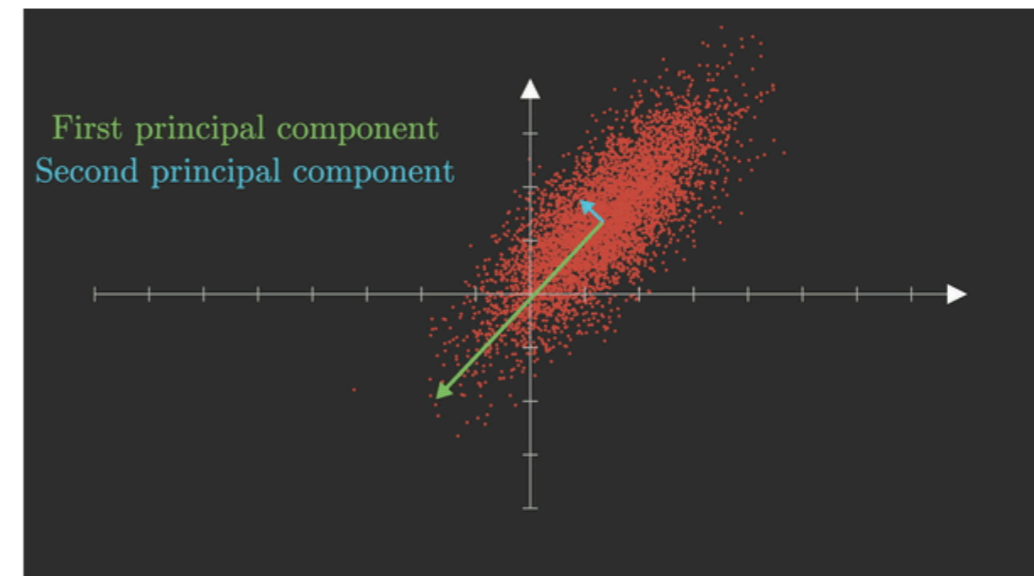
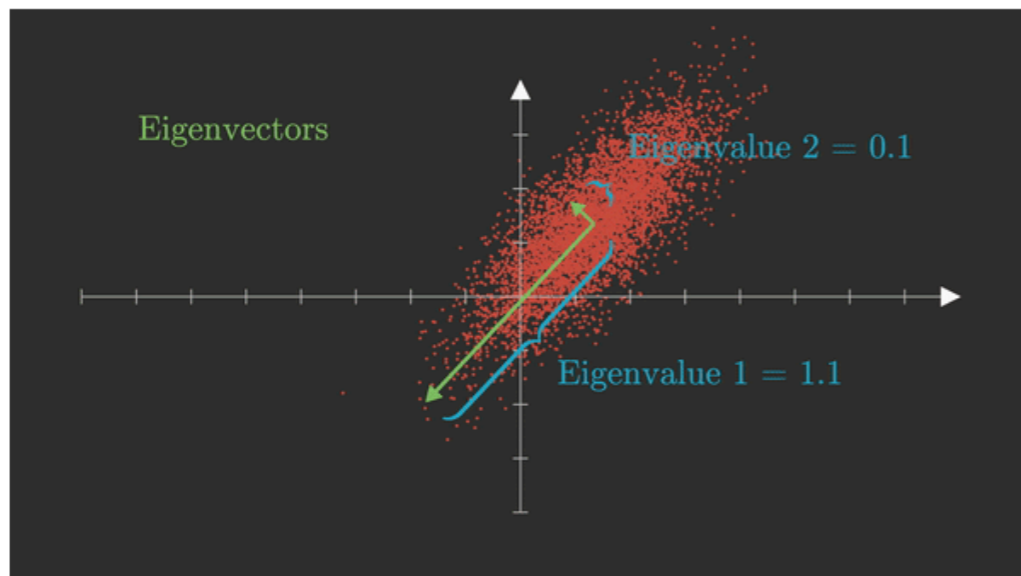
$$C = \begin{bmatrix} 0.4713125 & 0.375 \\ 0.375 & 0.5114 \end{bmatrix}$$

Variance of variable 1

Covariance between var 1 and var 2

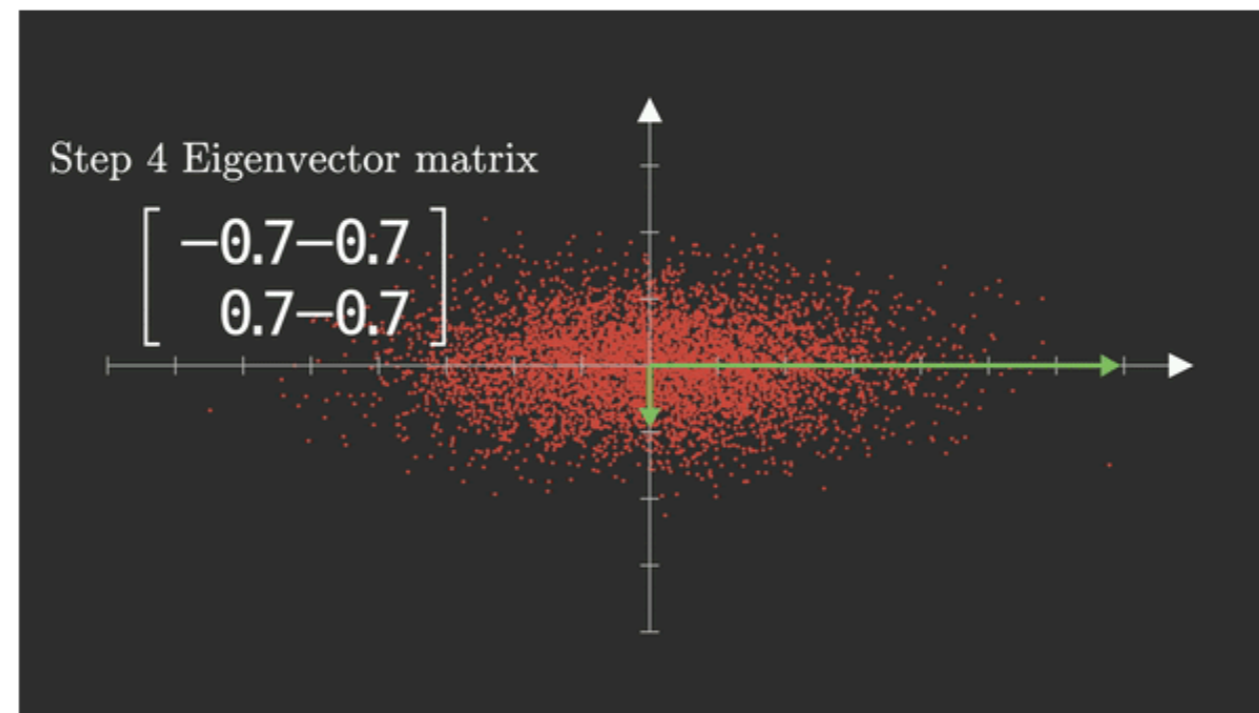
Covariance between var 1 and var 2

Variance of variable 2

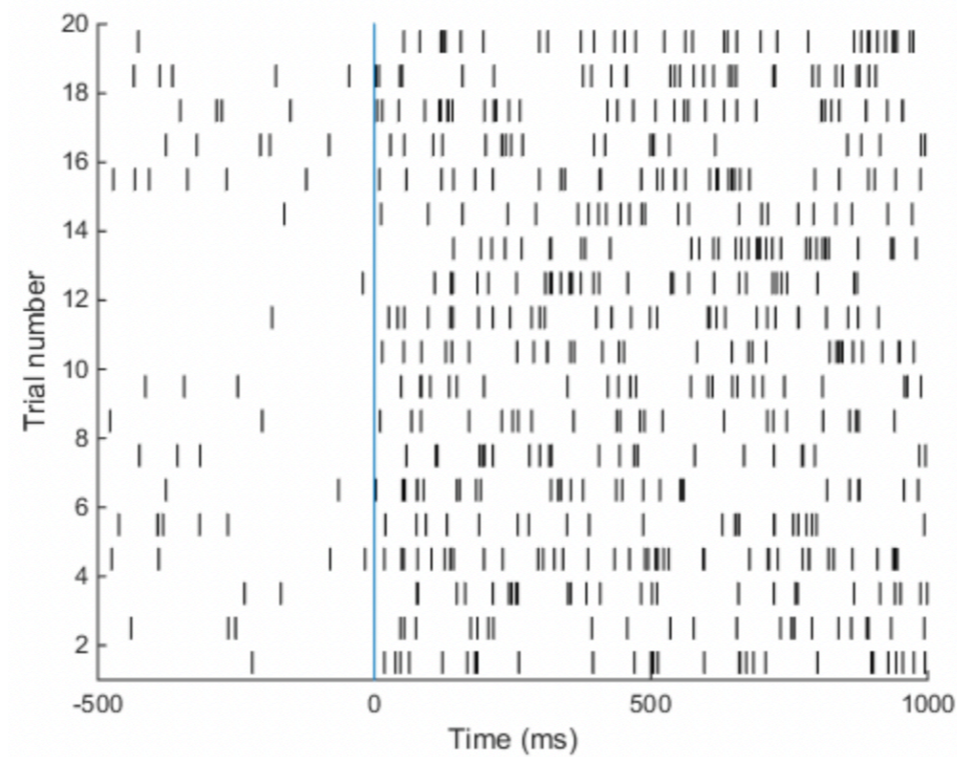


# MANIFOLD ANALYSIS EXAMPLE: PRINCIPAL COMPONENT ANALYSIS (PCA)

- Eigenvectors take home message: “dimension of highest spread” of your data
- PCA take home message: “dimension of highest spread of the variance”

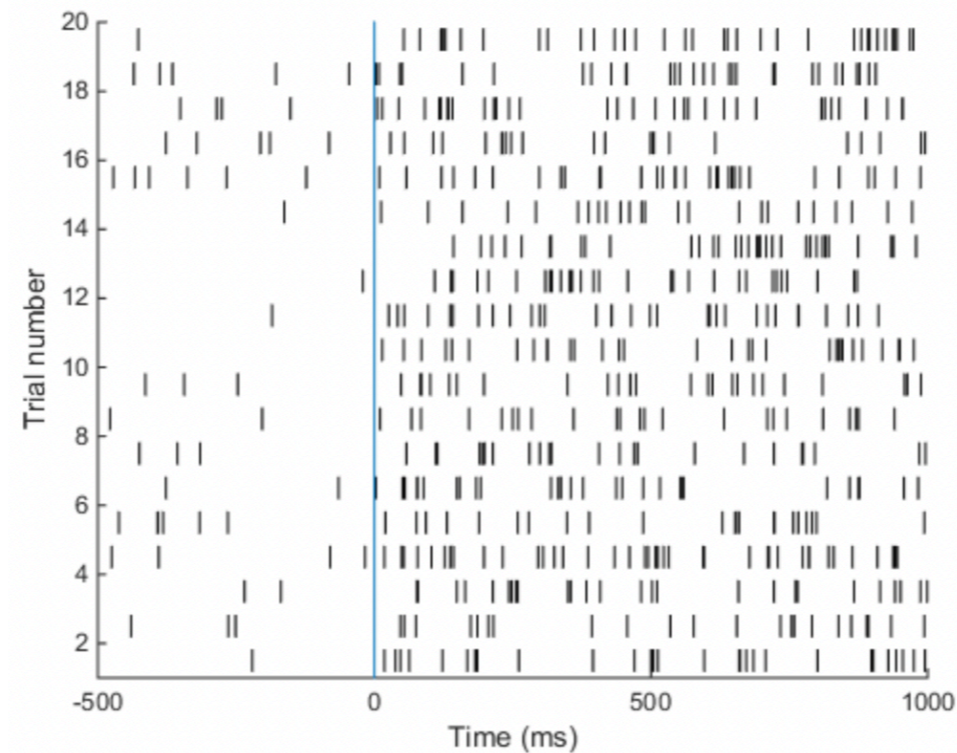


# PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



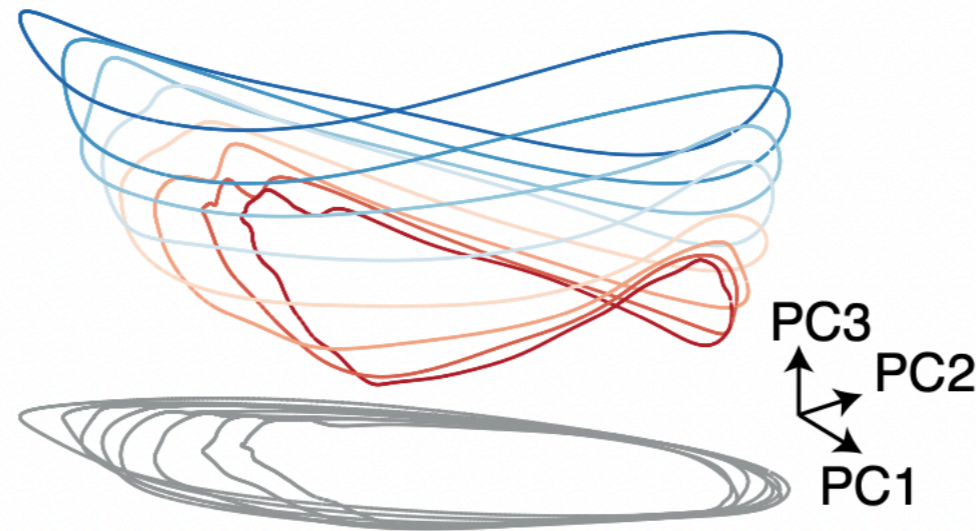
- Neural computations can be hidden at the level of single-neuron firing rates.

# PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



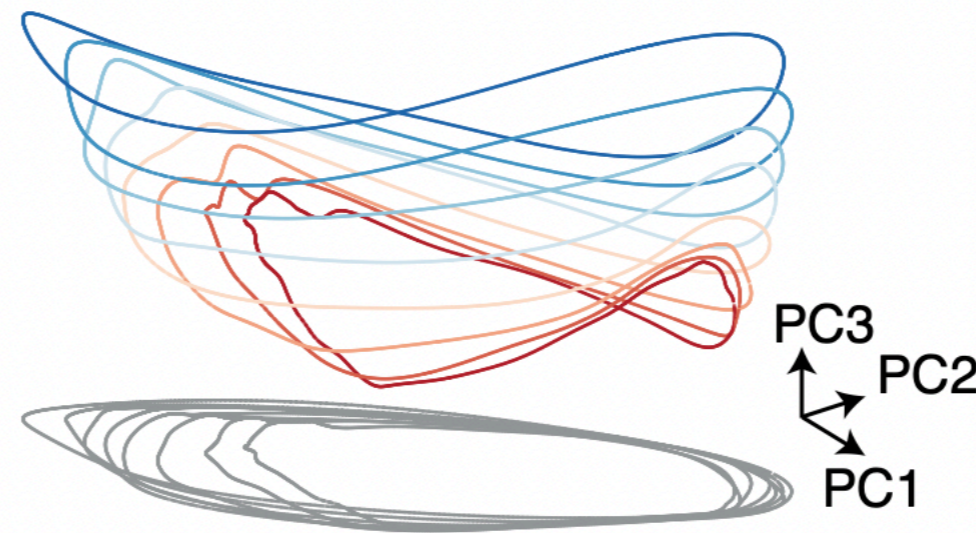
- Neural computations can be hidden at the level of single-neuron firing rates.
- Task-specific dynamics can be highlighted using PCA reduction.

# PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



- Motor cortex recordings during cycling at different speed
- Picture shows neural responses:
  - each loop is once around a repeating cycle
  - blue is slowest.

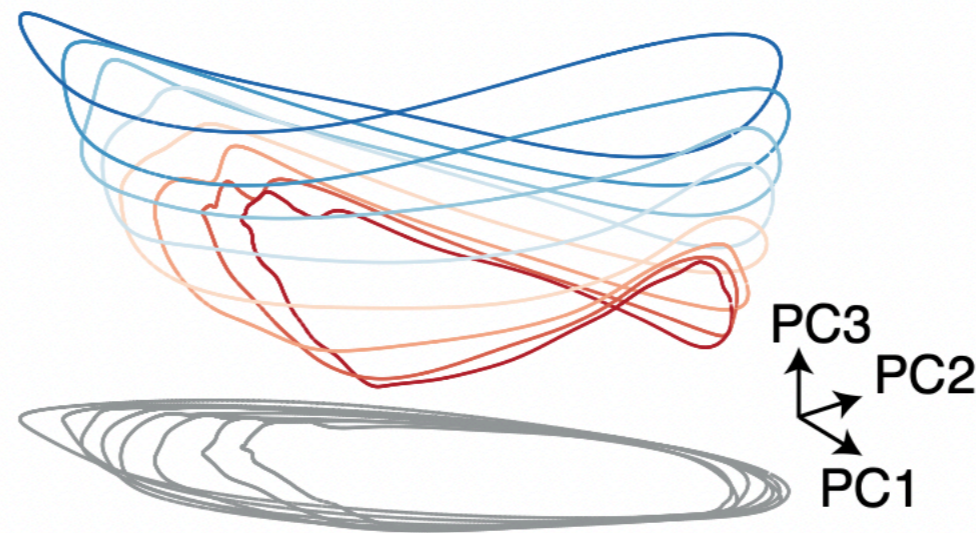
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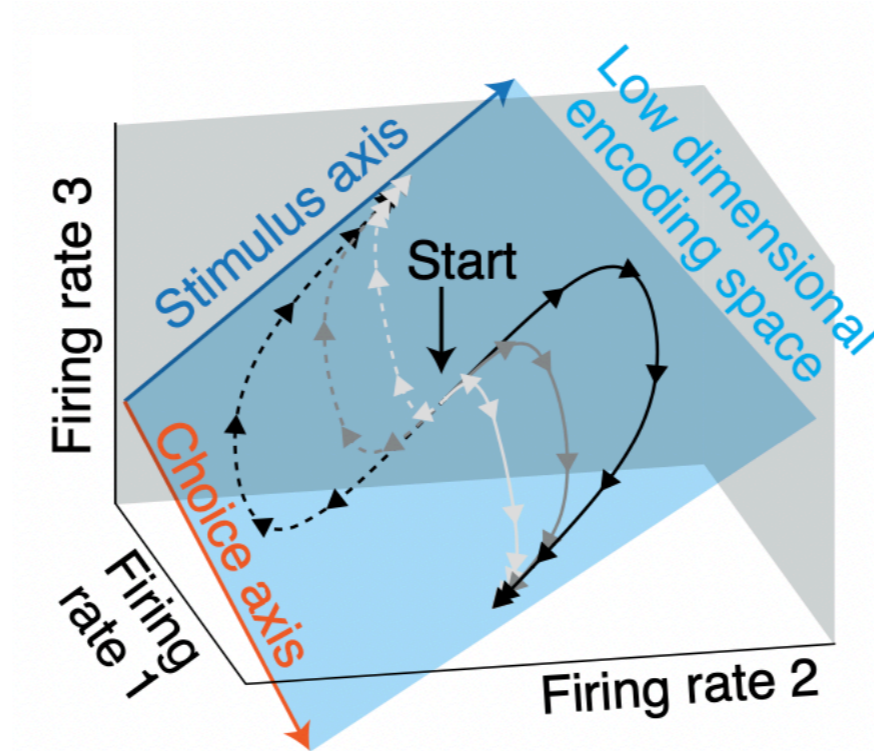


# PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



- Motor cortex recordings during cycling at different speed
- Picture shows neural responses:
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- The 2D manifold PC1,PC2 clearly represents the cyclic motion
- The third dimension adds separability in the speed domain

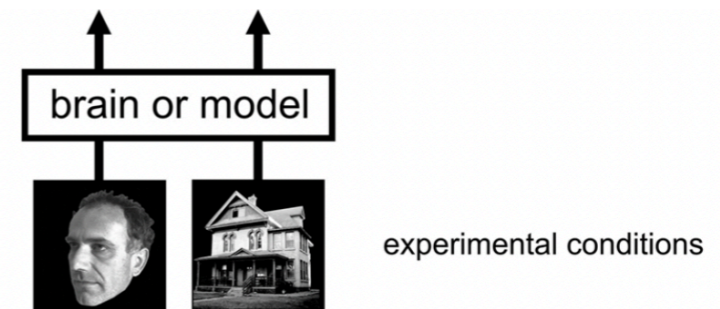
# PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



- Behaviorally relevant variables in a sensory decision making task:
  - Neural activities can be embedded in a 2D manifold:
    - A stimulus-axis
    - A choice-axis
- Behaviorally relevant neural variance is often explained by a small number of dimensions (blue, red axes).

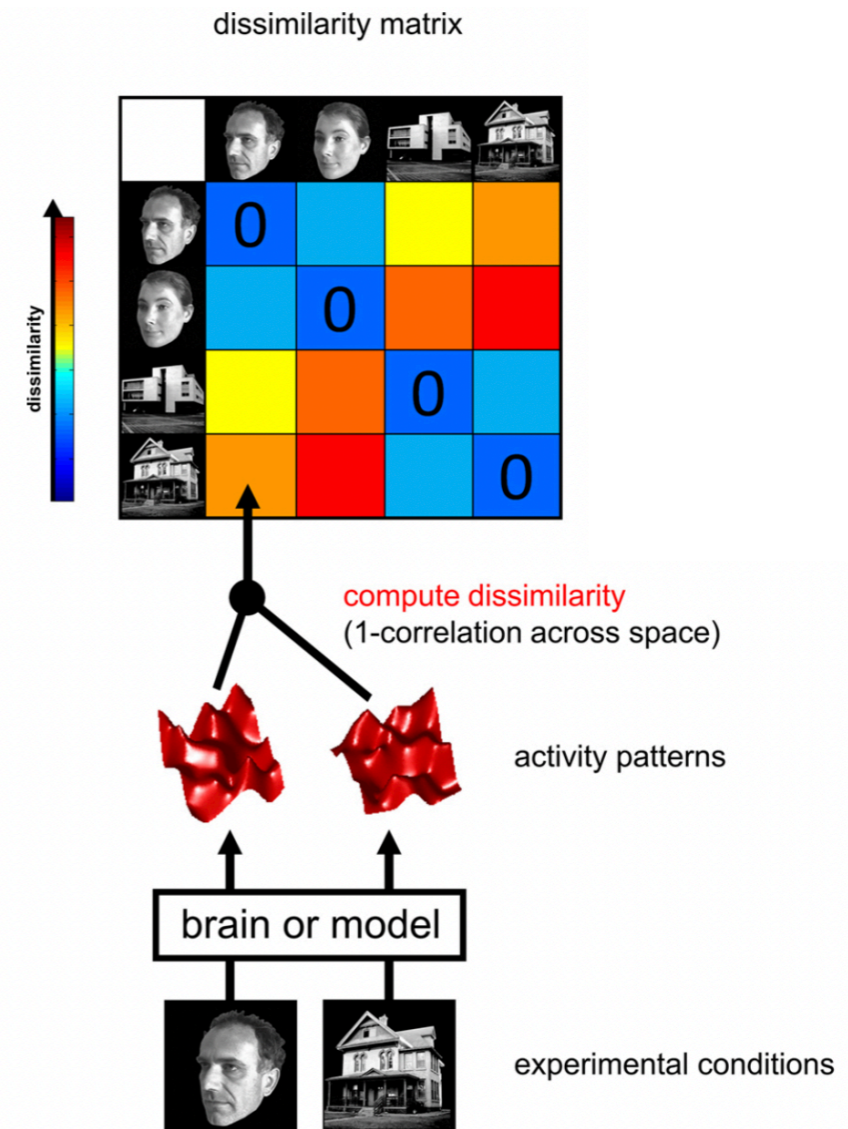
# REPRESENTATION SIMILARITY ANALYSIS TO INFER NETWORKS SPECIFICITY AND CONNECTIVITY

- Dissimilarity between 2 stimuli / conditions is:
  - $1-C$ ,  $C$  = Correlation between activity elicited by 2 stimuli / conditions
  - Ranges between 0 and 2:
    - 0 for perfect correlation
    - 1 for no correlation
    - 2 for perfect anticorrelation



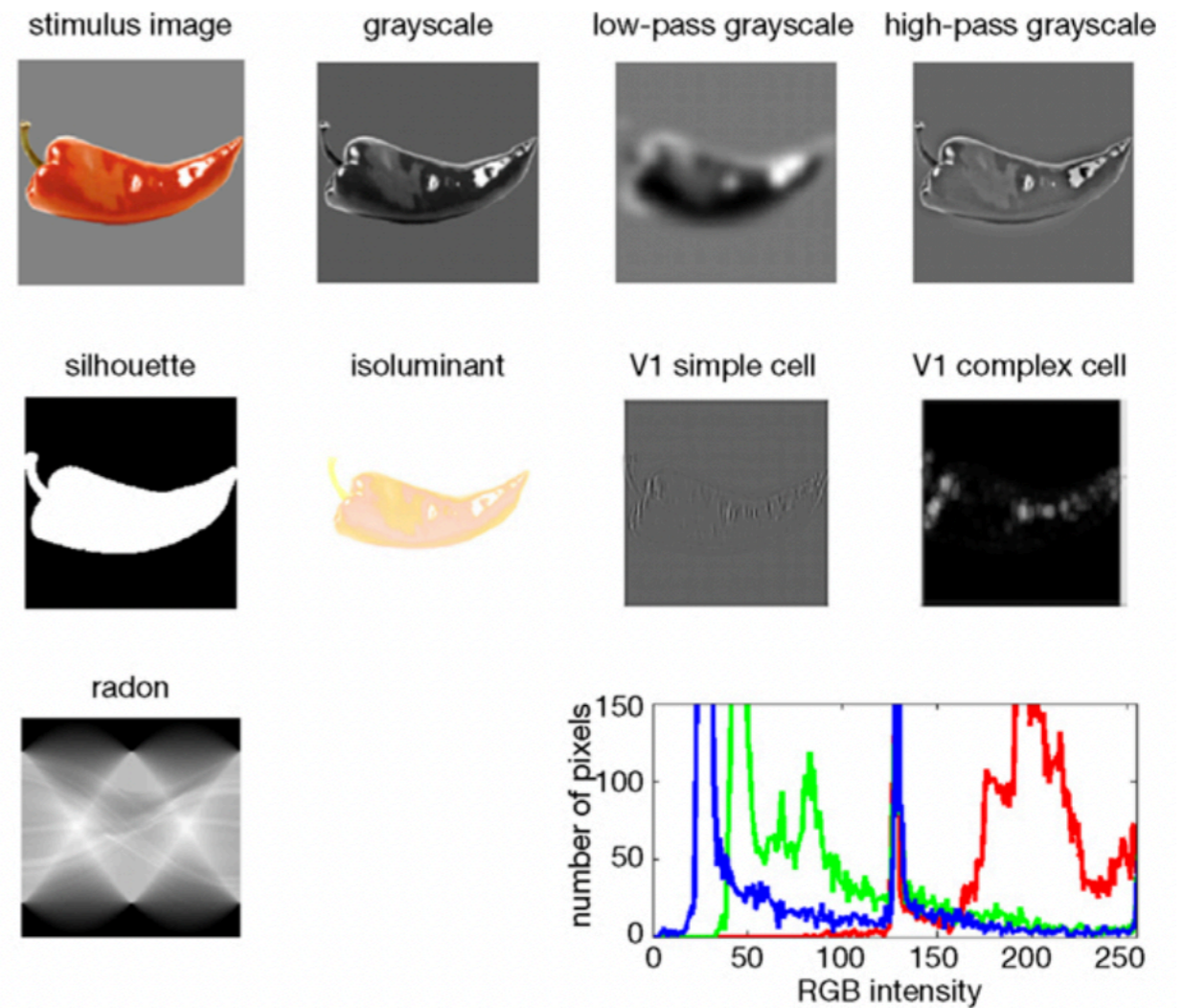
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  - Ranges between 0 and 2:
    - 0 for perfect correlation
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- These dissimilarities for all pairs of conditions are assembled in the Representation Dissimilarity Matrix.
- Each cell of the RDM compares the response patterns elicited by two images.



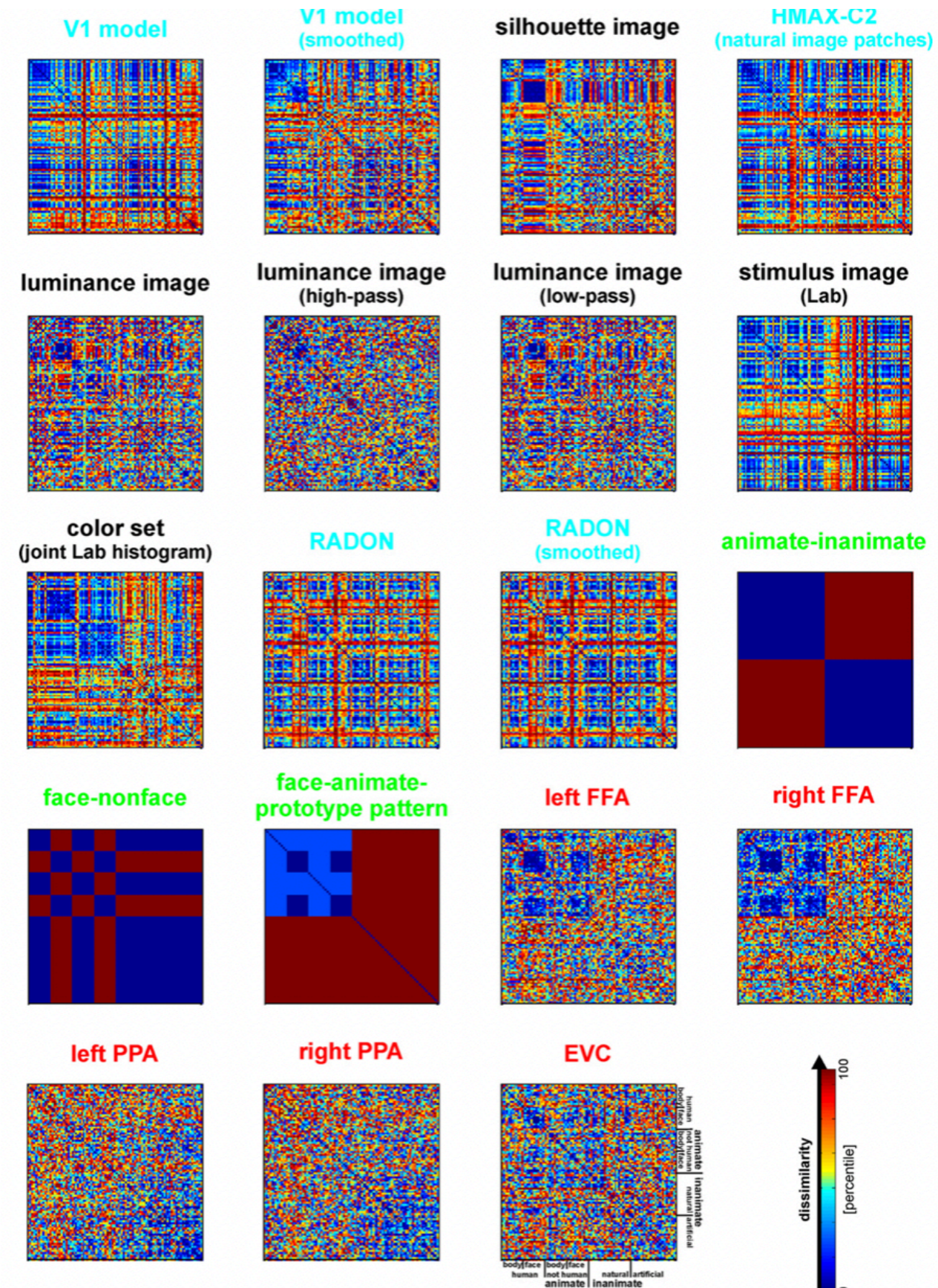
# REPRESENTATION SIMILARITY ANALYSIS TO INFER ENCODING SPECIFICITY

- RDMs can be compared too
- e.g. to a model's RDM in response to a similar condition
- Example here: the authors transform the image according to different algorithm or processing models



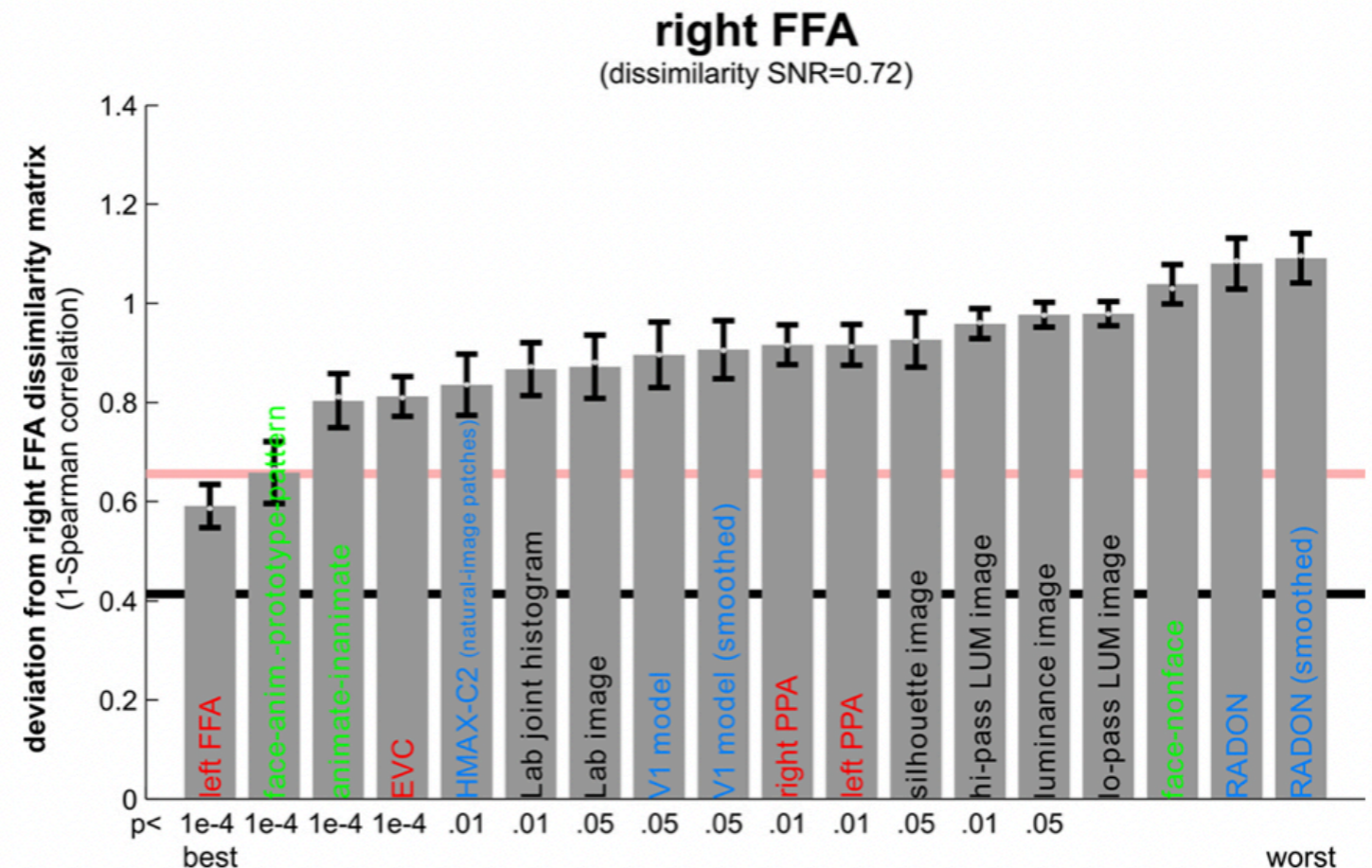
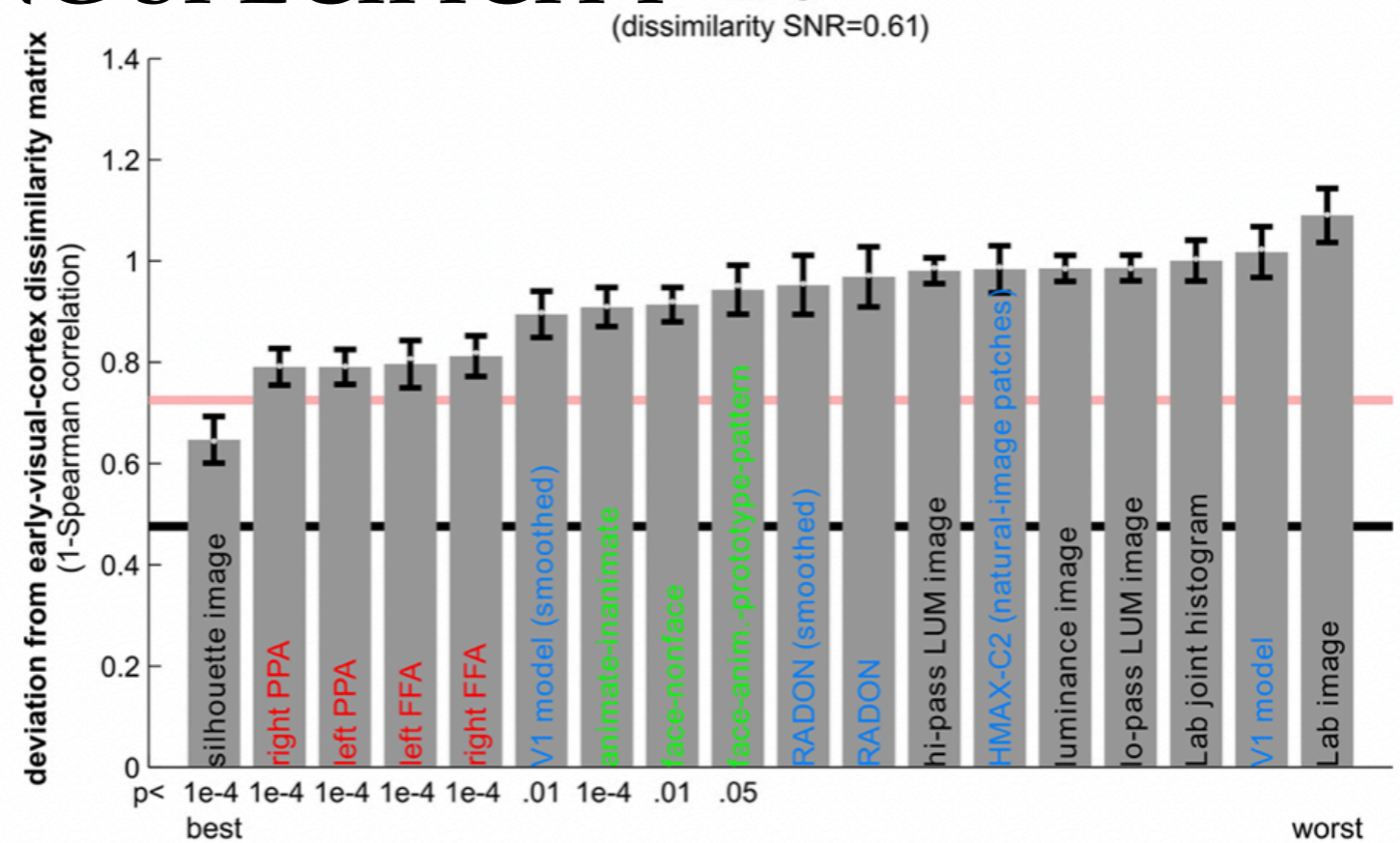
# REPRESENTATION SIMILARITY ANALYSIS TO INFER ENCODING SPECIFICITY

- They produce RDMs for different models and brain regions (obtained from fMRI data)



# REPRESENTATION SIMILARITY ANALYSIS TO INFER ENCODING SPECIFICITY

- The correlation between the RDMs can help match:
  - region-to-region functional specificity
  - region-to-model functional specificity



## - SUMMARY -

# RL AND NON-RL METHODS TO DECIPHERING BRAINS AND NEURAL NETWORKS

- RL:
  - The specificity of the design of the agent chosen enable to shed light on how humans or animals perform in tasks:
    - Its performance related to model design indicates what basic elements are needed to perform a task
    - The different components can help formulate hypothesis on a specific brain region's role in a task



## - SUMMARY -

# RL AND NON-RL METHODS TO DECIPHERING BRAINS AND NEURAL NETWORKS

- RL: Some direction of comparison of the approaches covered:
  - Dyna: to study replay processes
  - SR: to study prediction
  - Model-free / Model-Based: to investigate their interplay
  - Actor-critic VS policy gradient: to study value representation and action selection

## - SUMMARY -

# RL AND NON-RL METHODS TO DECIPHERING BRAINS AND NEURAL NETWORKS

- RL: Some direction of comparison of the approaches covered:
  - Dyna: to study replay processes
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  - Model-free / Model-Based: to investigate their interplay
  - Actor-critic VS policy gradient: to study value representation and action selection
- all of those approaches enable to study behaviors
- they also improve from neurosciences advances
  - in particular, we need more flexible agents!

## - SUMMARY -

# RL AND NON-RL METHODS TO DECIPHERING BRAINS AND NEURAL NETWORKS

- Manifold:
  - Can capture task-relevant dimensions of neural activities - practical for dimension reduction
  - Comparing those dimension and the stability of the dynamics using modeling enables to shed light on neural computations
  - Embedding useful task-related dynamics within neural network can help perform tasks

## - SUMMARY -

# RL AND NON-RL METHODS TO DECIPHERING BRAINS AND NEURAL NETWORKS

- RSA:
  - Can capture representational and functional marker of a brain region / model by looking at its pattern of activity correlations
  - RDMs capture how different do they react to stimuli / experimental conditions
  - Can be used to compare to models and or other brain regions:
    - With models, it gives information on the encoding of the region
    - With brain regions, it can be used to infer connectivity between brain regions