# General Principles of Human and Machine Learning

### Lecture 8: Function Learning

Dr. Charley Wu

https://hmc-lab.com/GPHML.html



### **Concept learning as** classification

Previous Experiences



Wu, Meder & Schulz (AnnRevPsych forthcoming)



### The story so far ... **Concept learning as** classification **Rule-based** X Sandwich



Bread Enclosure

Wu, Meder & Schulz (AnnRevPsych forthcoming)



### **Concept learning as** classification



Bread Enclosure

**Rule-based** 

Wu, Meder & Schulz (AnnRevPsych forthcoming)



#### The story so far ... **Concept learning as** classification **Rule-based** X Sandwich Previous Experiences **0** Not sandwich Sandwich! X **?** Query - Rule X Flatness ? X 0 X Sandwich? 0 0 0

Bread Enclosure

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### **Concept learning as** classification



Bread Enclosure

X

X

**Rule-based** 

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#### Bread Enclosure









THE CUBE RULE OF FOOD IDENTIFICATION









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THE CUBE RULE OF FOOD IDENTIFICATION









CALZONE



 $A \cap B$ 

A - B

B - A



### **Concept learning as** classification

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**Rule-based** 

#### Bread Enclosure









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### Supervised



### Unsupervised



Variable 2







### Supervised



### **MLPs Decision trees** and random forests

SVMs



### Unsupervised



Variable 2



### Supervised





### Unsupervised



Variable 2



### Supervised





#### Variable 2

### Unsupervised







# Today's agenda

- From categories to functions
  - Early Psychological research on how people learn explicit functions
    - Rule-based
    - Similarity-based
    - Hybrid using Bayesian function learning
  - Implicit function learning as a key part of generalization in RL
- Modeling human generalization and exploration in RL
  - Spatially correlated bandit (Wu et al, 2018)
  - Generalization to abstract (Wu et al., 2020) and graph-structured domains (Wu et al,. 2021)
  - Open challenges



# Function learning as regression

- Regression is that other branch of supervised learning problems we previously skipped over
- Rather than predicting *discrete* categories, we want to learn to predict a *continuous* real-valued variable
- We do so by learning a function mapping the input space X to the target variable Y  $f: X \to Y \text{ where } y = f(x)$
- To make a prediction about so new situation  $x_*$ , we simply evaluate the function:

 $y_* = f(x_*)$ 

• But how do we learn this function? For any set of datapoints, there are an infinite number of functions that pass through them



### **Previous Experiences**





### **Theories of Function Learning**

### **Regression task**

Enjoyment

?







• • •

Wu, Meder & Schulz (AnnRevPsych forthcoming)



### **Theories of Function Learning**



• *Rules* describe an explicit parametric family of candidate functions (e.g., linear or polynomial) (Carroll, 1963; Brehmer, 1976)

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### **Theories of Function Learning**



- *Rules* describe an explicit parametric family of candidate functions (e.g., linear or polynomial) (Carroll, 1963; Brehmer, 1976)
- the basis of generalization (McClelland et al., 1986; Busemeyer et al., 1997)

• Similarity uses the generic principle that similar inputs produce similar outputs (often learned using ANNs) as









- (Carroll, 1963; Brehmer, 1976)
- the basis of generalization (McClelland et al., 1986; Busemeyer et al., 1997)
- Hybrids combine elements of both: Gaussian process (GP) regression uses kernel similarity to learn a (Rasmussen & Williams, 2005; Mercer, PhilTransRoySoc 1909; Lucas et al., PBR 2015)

distribution over functions, and can compositionally combine kernels like we can combine multiple rules



- and responses
  - Rather than learning discrete S-R associations, people learn functions
  - interpolation and extrapolation
- Experiment using relationships such as y = 1.22x + 1.0 or  $y = -5.1x + 0.2x^2 + 32.60$

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## **Results and interpretation**

- Participants are learning functions rather than just discrete associations because they can interprolate and extrapolate from training data
- Participants learned simpler functions better than more complex ones and displayed inductive biases for simpler functions even when shown arbitrary relationships between x and y (no more than 4th degree polynomials)
- Carroll (1967) along with later work (Brehmer 1974; Koh & Meyer, 1991) believed that people learn functions by estimating the parameters for a class of functions (e.g., polynomials) using a process equivalent to regression
  - The class of function corresponds to a hypothesized **rule** about the relationship between variables (e.g., law of gravity:  $F = G \frac{m_1 m_2}{m_1}$ )





- Standard formulation assuming noisy observations  $\mathbf{y} = f(\mathbf{X}) + \epsilon$  where  $\epsilon \sim \mathcal{N}(0, \sigma_n^2)$  is i.i.d. noise
- Linear assumption we can model the function as features x weights: f(X) = X<sup>T</sup>w
  (this simplified notations appends a 1 to each x so that one of the weights in w is the intercept)

### Maximum Likelihood Estimation (MLE)

 MLE of weights can be found by minimizing the Residual Sum of Squares (RSS):

$$RSS(\mathbf{w}) = \sum_{i}^{n} (y_i - \hat{y}_i)^2 = \|\mathbf{y} - \mathbf{X}^{\mathsf{T}}\mathbf{w}\|$$

- An analytic solution is available through the Moore-Penrose psuedoinverse (Penrose, 1955):  $\mathbf{\hat{w}} = (\mathbf{X}^{\mathsf{T}}\mathbf{X})^{-1}\mathbf{X}^{\mathsf{T}}\mathbf{y}$
- $||^{2}$



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(n, 1)

 $(\boldsymbol{m}, \boldsymbol{n})$ 

(m, 1)

 $\parallel 2$ 



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### Linear assumptions don't always work









# Parametric regression

- Rather than assuming a linear relationship, assume a different functional form
  - Exponential:  $f(\mathbf{x}) = \mathbf{w}^{\mathbf{x}}$
  - Logarithmic:  $f(\mathbf{x}) = \mathbf{w} \log(\mathbf{x})$
  - Power:  $f(\mathbf{x}) = \mathbf{x}^{\mathbf{w}}$
  - Polynomial:  $f(x) = w_i x^i + w_{i-1} x^{i-1}$ (switching to univariate x for simplicity







$$(-1 + \ldots + w_i x)$$



Gigerener & Brighton (*TopiCS*, 2009)

# Similarity-based theories of function learning

- Associative learning model (ALM; Buseymeyer et al., 1997) used neural networks to encode the generic principle that similar inputs produce similar outputs
  - When stimuli  $x_*$  is presented, it activates all input nodes according to their similarity:  $a_i(x_*) = \exp\left[-\gamma(x_* x_i)^2\right]$  where  $\gamma$  is a sensitivity parameter
  - Output node  $y_j$  is activated according to learned weights:  $y_j(x_*) = \sum_{i}^{M} w_{ji} \cdot a_i(x_*)$
  - Weights are updated using the delta-rule based on feedback signal z:  $w_{ji} \leftarrow w_{ji} + \alpha \left[ f_j(z) - y_j(x_*) \right] a_i(x_*)$ where  $f_j(z) = \exp \left[ -\gamma (z - y_j)^2 \right]$
  - $\bullet$  Limitation: fails to capture human extrapolation patterns —>
- Extrapolation-Association Model (**EXAM**; Delosh et al,. 1997) extends ALM by adding a linear approximation of ALM outputs to account for more linear extrapolation patterns in humans
  - But humans also sometimes extrapolate in a non-linear fashion (Bott & Heit, 2004)



### **Neural networks as Universal Function Approximators**

- arbitrarily closely by an MLP with just a single hidden layer
  - capacity of the network
- But fitting is not the same as predicting
- As we see from ALM, extrapolation patterns of NNs don't always match the inductive biases of humans learners

Recall Cybenko (1989): Every continuous function can be approximated

adding more nodes in the hidden layer increases the representational



### Gaussian Process (GP) regression as a hybrid model

- Bayesian framework for function learning
- Assumes a distribution over functions, where each function corresponds to a hypothesis about the relationship between x and y
- After conditioning on observations, we can make predictions (with uncertainty) about any point along the input space
- Called Gaussian process, because we make Gaussian assumptions
  - the posterior at each point is defined by a mean and variance (details on the next slide)
- GPs are a *non-parametric* model, meaning the complexity is defined by the data not the number of parameters in the chosen functional class (i.e., parametric models)



> 0.0

-0.5

-1.0

-1.5

0

2



15

8

6

X °
- Prior over functions (i.e., hypotheses) is a multivariate Gaussian:  $P(f) \sim \mathcal{GP}\left(m(\mathbf{x}), k(\mathbf{x}, \mathbf{x}')\right)$ 
  - prior mean  $m(\mathbf{x})$  is typically set to 0 without loss of generalization
  - Covariance  $k(\mathbf{x}, \mathbf{x'})$  is defined by a choice of kernel e.g., RBF kernel:

$$k(\mathbf{x}, \mathbf{x}') = \exp\left(\frac{-||\mathbf{x} - \mathbf{x}'||^2}{2\lambda^2}\right)$$

where  $\lambda$  defines the expected smoothness of the function

• Once we acqire some data  $\mathcal{D} = \{\mathbf{X}, \mathbf{y}\}$ , we can compute a posterior prediction about any new datapoint  $\mathbf{X}_*$  that is also Gaussian with mean and variance defined as

$$m(\mathbf{x}_* | \mathscr{D}) = \mathbf{k}_*^{\mathsf{T}} (\mathbf{K} + \sigma^2 \mathbf{I})^{-1} \mathbf{y}$$
$$v(\mathbf{x}_* | \mathscr{D}) = k(\mathbf{x}_*, \mathbf{x}_*) - \mathbf{k}_*^{\mathsf{T}} (\mathbf{K} + \sigma^2 \mathbf{I})^{-1} \mathbf{k}$$



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>



16

Prior

Χ

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1.00

0.75



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1.00

0.75

**Generalization** 

\*Don't worry too much about what these equations mean for now; I will provide some intuitions later



### GPs provide the best predictions for human function learning

### Extrapolation



Griffiths, Lucas, & Williams, (Neurips 2008)



Schulz et al., (CogPsych 2017)<sup>17</sup>

# Duality of GP function learning

### Kernel provides an explicit similarity metric



Kernels can be compositionally combined, similar to how we can combine rules to create new ones





### Connection to RL



### **Connection to RL**

- Episodic RL for generalization in new settings (Gershman & Daw, AnnRevPsych 2017; Bottvinick et al., TICS 2019)
  - Store a memory for each previously encountered stimuli **x** and it's reward y
  - Predict the value of new stimuli based on a similarityweighted sum of past episodes







### **Connection to RL**

- Episodic RL for generalization in new settings (Gershman & Daw, AnnRevPsych 2017; Bottvinick et al., TICS 2019)
  - Store a memory for each previously encountered stimuli **x** and it's reward y
  - Predict the value of new stimuli based on a similarityweighted sum of past episodes
- GPs provide a Bayesian analogue of Episodic RL
  - Using an RBF kernel as the similarity metric, Episodic RL is equivalent to the GP posterior mean (Poggio & Bizzi, *Nature* 2004; Sutton & Barto, 2018; Jäkel, Schölkopf, & Wichman, *J.MathPsych*, 2008)
  - Yet GPs provide uncertainty estimates, which is essential for defining which states to explore!



GP posterior mean  $m(\mathbf{x}_* | \mathcal{D}) = \mathbf{k}_*^{\mathsf{T}} (\mathbf{K} + \sigma^2 \mathbf{I})^{-1} \mathbf{y}$  $= \sum w_i k(\mathbf{x}, \mathbf{x}')$ i=1where  $\mathbf{w} = [\mathbf{K} + \sigma^2 \mathbf{I}]^{-1} \mathbf{v}$ 





### Value function approximation in RL

- Classic function learning is typically a supervised learning problem.
  - Given stimulus  $\mathbf{X}_*$  predict  $f(\mathbf{X}_*)$
- Value function approximation is a key method for generalization in RL.
  - Use function learning mechanisms for inferring *implicit* value of novel states: V(s') = f(s')
  - Implement a policy on the basis of value:  $\pi(s') \propto \exp(V(s'))$
- AlphaGo uses a deep neural network for value function approximation
  - DNNs are simply a universal function approximator (Cybenko, 1989).
  - But for understanding human behavior, GPs offer better interpretability due to psychologically meaningful parameters
  - GPs are equivalent to an infinitely wide deep neural network (Neal, 1996)
- After the break, I will present some of my research using GPs to model human generalization in RL

Silver et al., (*Nature* 2016)





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### Value network



Silver et al., (*Nature* 2016)





- Function learning is a regression problem
- Early rule-based theories assumed humans learn functions by picking specific class of functions and then optimizing the weights (as in linear or parametric regression) -> Brittle and lacked flexibility
- Similarity-based methods used ANNs to encode the generic principle that similar inputs produce similar outputs -> failed to capture systematic biases in how humans extrapolate
- Hybrid approaches using GP regression offer a Bayesian framework, combining kernel similarity and rule-like compositionality of kernels







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## 5 minute break



# Human learning in the lab









# Human learning in the lab









# Human learning in the lab







# Real life problems

### Finding a place to live





### Picking what to eat

### Choosing a research topic



### Oder nähle eine Super Bonl







### **Exploration-Exploitation Dilemma**



Herzfeld & Shadmehr (Nat Neuro 2014)

Exploration





### Let's explore!

C

### But where?



# How do people navigate vast environments when we cannot explore all possibilities?

Let's explore!

### But where?



# **Spatially Correlated Bandit**



Wu et al., (Nature Human Behaviour 2018)

Click tiles on the grid maximize reward

Leach tile has normally distributed rewards

(The limited search horizon

nearby tiles have similar rewards



# **Spatially Correlated Bandit**



Wu et al., (Nature Human Behaviour 2018)

Click tiles on the grid maximize reward

Leach tile has normally distributed rewards

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# **Spatially Correlated Bandit**

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Wu et al., (*Nature Human Behaviour* 2018)













































































$$UCB(\mathbf{x}) = \mu(\mathbf{x}) + \beta\sigma(\mathbf{x})$$













Bonusrunde! Verbleibende Kacheln: 4 Wie viele punkte kriegst

du wenn du hier klickst? Was glaubst du?





$$UCB(\mathbf{x}) = \mu(\mathbf{x}) + \beta\sigma(\mathbf{x})$$

 $P(\mathbf{x}) \propto \exp(UCB(\mathbf{x})/\tau)$ 













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- We can lesion out each component to show that all are necessary
  - $\lambda$  lesion replaces GP with a Bayesian RL model (i.e., Kalman filter) that learns the value of each option independently without generalization
  - $\beta$  lesion removes uncertainty-directed exploration by setting  $\beta = 0$
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#### **Bayesian Model Selection**







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- The **full model** reproduces the same age-related differences in learning curves
  - $\beta$ -lesion is also g same decaying le and generally lea



## Human development resembles an optimization process in GP parameter space



SA fast cooling









## Human development resembles an optimization process in GP parameter space









# General principles of human exploration

### Generalization

- assume similar stimuli will yield similar rewards.
  - RBF kernel is analogous to Shepard's Law of Generalization
- use Bayesian function learning to learn a value function
  - Distribution over functions is analogous to Bayesian Concept Learning
- extrapolation/interpolation to make predictions about novel stimuli

### Uncertainty-directed exploration

- rather than only random exploration, people direct their exploration towards regions of the search space they are most uncertain about
- can also be viewed as an optimism bias:
  - inflating reward expectations by their uncertainty is akin to assuming the most optimistic outcome
  - this optimism pays off because, because it corresponds to also valuing information gain



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### THE DRIVE FOR KNOWLEDGE

The Science of Human Information-Seeking



https://charleywu.github.io/ downloads/driveforknowledge.pdf pwd is "knowledge"



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Wu, Schulz, Nelson, Speekenbrink & Meder (Nature Human Behaviour 2018)

### 2. Developmental trajectory of learning

Schulz, Wu, Ruggeri & Meder (*PsychSci* 2019) Meder, Wu, Schulz & Ruggeri (*DevSci* 2021) Giron\*, Ciranka\*, Schulz, van den Bos, Ruggeri, Meder & Wu (*NHB* in press)





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Schulz, Wu, Huys, Krause & Speekenbrink (Cognitive Science 2018)

## 6. Forgetful generalization with limited memory

Breit, Ten, Sakaki, Murayama, & Wu (in prep)

7. Social generalization Wu, Deffner, Kahl, Meder, Ho & Kurvers (bioRxiv 2023)

Wu, Ho, Kahl, Leuker, Meder, & Kurvers (CogSci 2021) Witt, Toyokawa, Gaissmaier, Laland, & Wu (Cogsci in press)



8. Neural basis for generalization and exploration

Liebe\*, Ciranka\*, Spies, Lanzenburger, & Wu (in prep)





Wu, Schulz & Gershman (CBB 2021)



Wu, Schulz, Garvert, Meder & Schuck (PLOS Comp Bio 2020)

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# V

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How many points do you think will be observed at the selected node?
How confident are you?
Least confident Most confident Submit

Wu, Schulz & Gershman (CBB 2021); see also Wu et al,. (PlosCompBio 2022)



# Validation on judgments

57		
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Few		Μ
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  - Learns smooth functions in continuous domain



Feature 1

Pearson Correlation

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Feature 1

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# Pearson Correlation





Open challenges



# **Selective Attention**

- Were known (Nosoftsky, *JEP:G* 1986; Love et al., *PsychRev* 2004)
- Recently, theories of selective attention describe the learning process whereby irrelevant features are gradually filtered out over the **COURSE OF learning** (Radulescu et al., *AnnuRevNeuro* 2021)
  - (Gottlieb et al., *CurrOpBehavSci* 2020; Dayan et al., *NatNeuro* 2000)
- features) we can't simply attend to all visual features in a scene and then learn to ignore irrelevant ones
- Open Question: How do we learn to attend to relevant features in real-world problems, when we cannot consider all of them?

• Early models included attentional weights to prioritize similarity comparisons along relevant feature dimensions, but assumed weights

• These theories largely align with rational theories of attention, which balance cost of control vs. benefits of increased performance

• While this provides a means to convert raw features into some "psychological space", it fails in natural settings (e.g., with rich visual





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- **Open Question:** How do we learn to attend to relevant features in real-world problems, when we cannot consider all of them?

### Feature RL model (Niv et al., *J.Neuro* 2015)

assumes value is a sum of feature weights

$$V(\mathbf{x}) = \sum_{\phi_i \in \Phi} \phi_i$$

Weights are updated using the delta rule  $\phi_i^{new} = \phi_i^{old} + \eta [R_t - V(\mathbf{x}_{chosen})], \quad \forall \phi_i \in \Phi$ 

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# **Contextual Clustering**

- Different features are relevant in different contexts, which was already built into classic models of concept learning (Nosoftsky, JEP:G 1986)
  - How do we infer which context or "event" we are in from continous streams of data?



# **Contextual Clustering**

- Different features are relevant in different contexts, which was already built into classic models of concept learning (Nosoftsky, *JEP:G* 1986)
  - How do we infer which context or "event" we are in from continous streams of data?
- We can frame this as a unsupervised clustering problem, and group related experiences into clusters (Franklin et al., PsychRev 2020; Gershman et al., PsychRev 2010)
  - Different event clusters can thus correspond to different attentional weights or different kernels
- **Open Question**: How do we transfer learned representations from one context to another?

## **Chinese Restaurant Process (CRP)**

- Similar to seating banquet guests
- We first try to seat the *i*th guest (i.e., stimulus) at one of the k existing table (i.e., event), otherwise we open a new table

$$p(\mathbf{x}_i = E_k) = \begin{cases} \frac{n_k}{n - 1 + \alpha} & \text{if k is an occupied table} \\ \frac{\alpha}{n - 1 + \alpha} & \text{otherwise (i.e., open a new} \end{cases}$$













- extrapolation
- Early rule-based approaches lacked flexibility, while similarity-based approaches didn't capture human inductive biases
- GP regression is a hybrid model, using the principles of Bayesian inference to compute a distribution over candidate hypotheses
- with large search spaces
  - structured environments (Wu et al., 2021)

• Functions represent candidate hypotheses about the world allowing us to evaluate an infinite range of possibilities through interpolation and

• GPs not only capture how humans explicitly learn functions, but also how we implicitly learn a value function to guide our exploration in RL tasks

• Originally tested in spatial environments (Wu et al, 2018), but can also be applied to any arbitrary features (Wu et al, 2020), or even graph-









# Next week

## COSMOS Konstanz

The Computational Summer school on Modeling Social and collective behavior (COSMOS) - Konstanz, DE



# https://cosmos-konstanz.github.io/

### paramCombs <- expand.grid(alpha = seq(0,1, length.out=20), beta = seq(0,</pre>

nLLs <- sapply(1:nrow(paramCombs), FUN=function(i) likelihood(as.numeric</pre> paramCombs\$nLL <- nLLs #add to dataframe</pre>

bestFit <- paramCombs[paramCombs\$nLL == min(nLLs),] #best fitting combi</pre>

#### #plot data

#### ggplot(paramCombs)+

geom\_tile(aes(x = alpha, y = beta, fill = nLL)) + geom\_contour(aes(x = alpha, y = beta, z = nLL), color = 'white')+ geom\_point(data=data.frame(alpha=alpha, beta=beta, type = 'true'), aes geom\_point(data=data.frame(alpha=bestFit\$alpha, beta=bestFit\$beta, type scale\_fill\_viridis\_c('nLL', direction = -1)+ labs(title = "Loss landscape", x = expression(alpha),y = expression(beta))+ scale\_shape\_manual(values= c(4, 0), name = '')+ theme\_classic()+ scale\_x\_continuous(expand = c(0, 0))+ scale\_y\_continuous(expand = c(0, 0))





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# Next week

Common tools for understanding brains and neural networks

- Manifold Analysis
- Representational Similarity Analysis

When things go wrong...

• Link to computational psychiatry

#### Task and stimulus







Brain



Artificial

ing

Representation similarity





